

# Unifications in particle physics: from coupling crossing to asymptotic unification

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based on [1706.02313](#) [hep-ph], [2012.14732](#) [hep-th] and [2302.11671](#) [hep-th]

# Particles and forces

**Standard Model of Elementary Particles**

		three generations of matter (fermions)			interactions / force carriers (bosons)	
		I	II	III		
mass		$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge		$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
		<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> higgs
	<b>QUARKS</b>	$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
		$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
		<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b><math>\gamma</math></b> photon	
	<b>LEPTONS</b>	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	$\approx 91.19 \text{ GeV}/c^2$	
		-1	-1	-1	0	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
		<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau	<b>Z</b> Z boson	
		$< 1.0 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$	$\approx 80.350 \text{ GeV}/c^2$	
		0	0	0	-1	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
		<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino	<b>W</b> W boson	
						<b>SCALAR BOSONS</b>
						<b>GAUGE BOSONS</b> <b>VECTOR BOSONS</b>

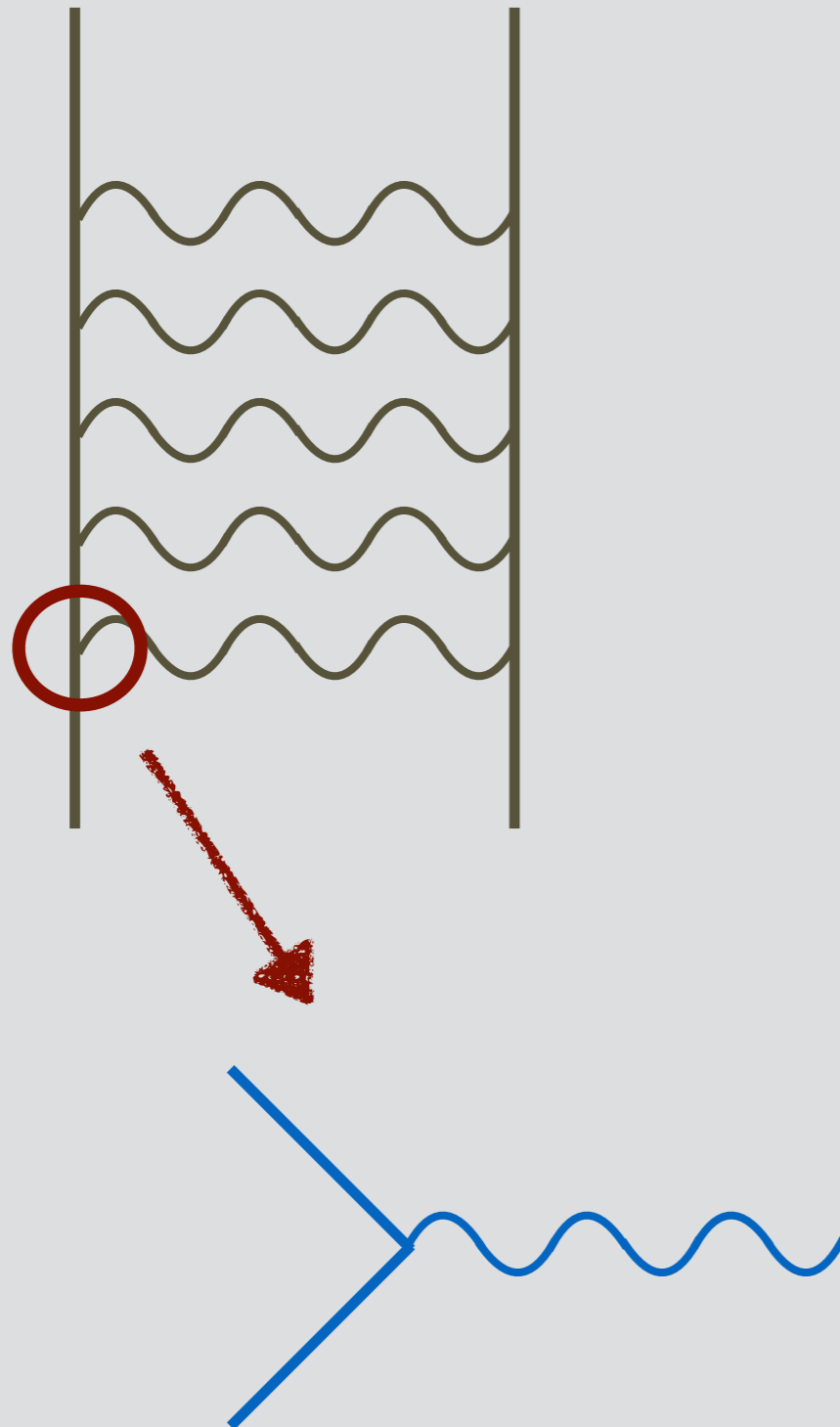
The present knowledge of fundamental forces of nature is called the Standard Model of particle physics and describes 3 types of interactions (electromagnetic, weak and strong forces) and 17 particles (12 matter, 4 mediators, 1 Higgs scalar)

source: Wikipedia, Wikimedia Commons

# Fundamental forces

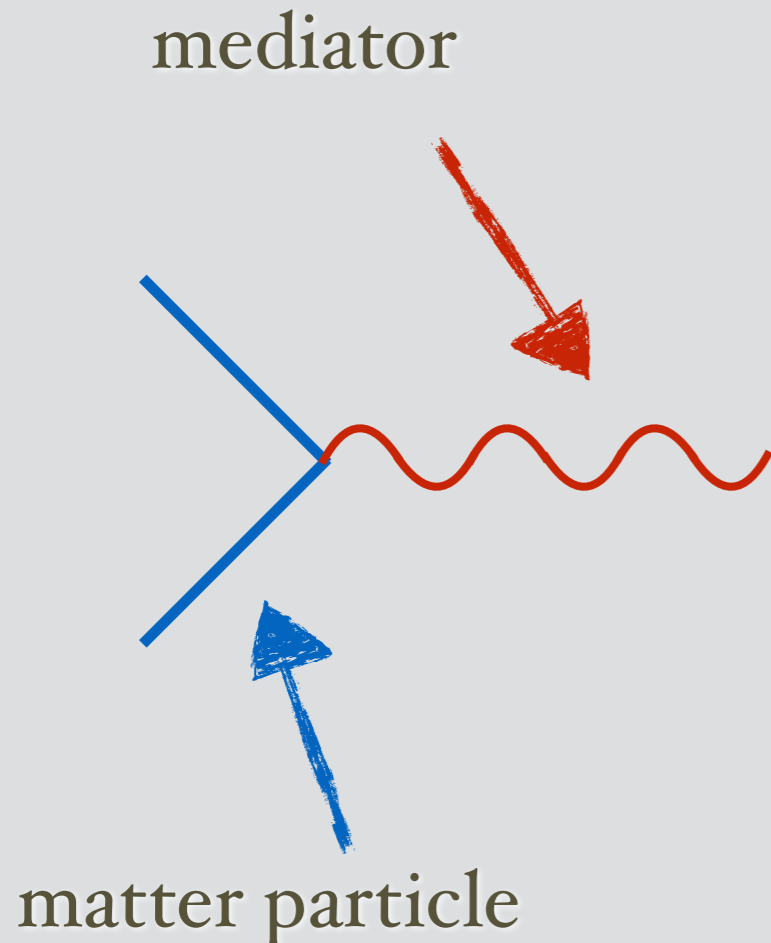
Interaction	Mediators	Relative strength to gravity	Long-distance behaviour (potential)	Range (m)
Weak	W+, W- and Z bosons	$10^{33}$	$\frac{1}{r} e^{-m_{W,Z} r}$	$10^{-18}$
Strong	8 gluons	$10^{38}$	linear potential $\sim r$	$10^{-15}$
Gravitation	1 graviton (?)	1	$\frac{1}{r^2}$	$\infty$
Electromagnetic	1 photon	$10^{36}$	$\frac{1}{r^2}$	$\infty$

# Forces in quantum theories



The potential is a semiclassical approximation: instantaneous action at distance is not consistent with special relativity and the effect of the potential is due to “mediator” exchange in quantum field theory. Mediators exchanges require interactions. Gravity not fully consistent with quantum field theory (not included in the SM), needs quantum gravity description.

# Forces and groups



Interactions can be just chosen to match experimental results, but apart from electromagnetism, very difficult to guess.

In particle physics interactions come from symmetries: invariance with respect to local (=coordinate dependent) space-time transformations, called **gauge symmetries**, based on unitary Lie algebras

U(1) phase transformations  $e^{i\alpha(x)}$  (electromagnetism)

SU(2) Pauli 2x2 matrices phase  $e^{i\alpha_i(x) \sigma_i}$  (weak interactions)

SU(3) Gell-Mann 3x3 matrices  $e^{i\alpha_a(x) \lambda_a}$  (strong interactions)

# Symmetries of the Standard Model

$SU(3) \times SU(2) \times U(1)$  are the combined symmetries of the Standard Model at high energy.

The Higgs mechanisms allows a spontaneous symmetry breaking to a residual symmetry:

$$SU(3) \times U(1)_{em}$$

leaving the photon and the gluon massless

note that  $U(1)_{em}$  is a subgroup of  $SU(2) \times U(1)$

The forces originating from these symmetries are **not unified** so they do not have a common origin.

# Matter families

The SM contains 3 copies of the matter families/generations with the same quantum numbers under the **SM gauge symmetry**, so they can be freely rotated independently, there is a  $U(3)^5$  global symmetry.

$$\begin{array}{cccccc} \left( \begin{array}{c} \nu \\ l \end{array} \right)_L & l_R & \left( \begin{array}{c} q_u \\ q_d \end{array} \right)_L & (q_u)_R & (q_d)_R \\ \underbrace{\hspace{15em}} & & & & \\ & & & & 5 \end{array}$$

The basic requirement of a “family” is anomaly cancellation = the quantum corrections respect the same gauge symmetries  $SU(3) \times SU(2) \times U(1)$

# Gauge coupling evolution

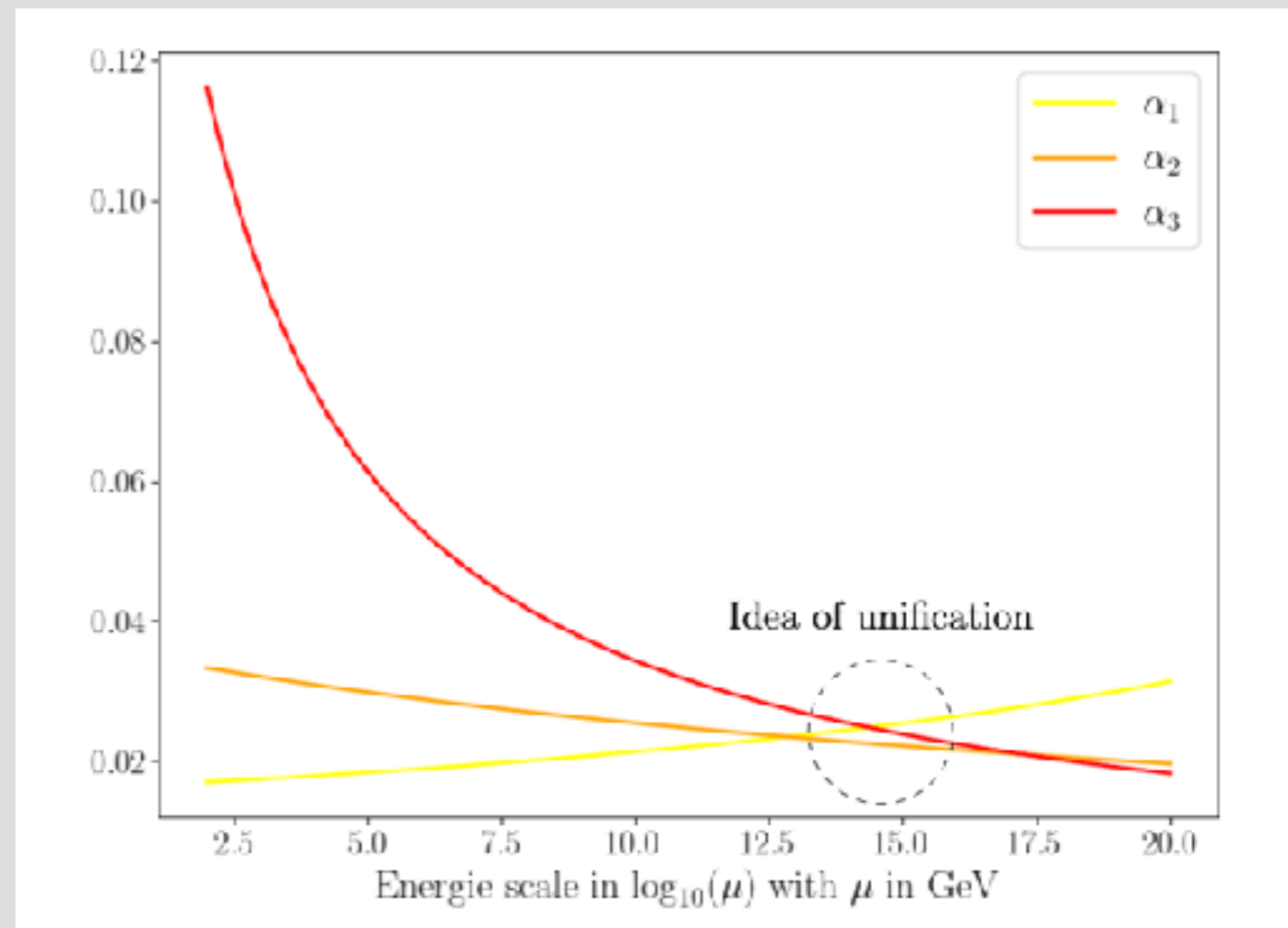
The quantum corrections also affect all the physical quantities we measure: masses and couplings are not constant values like in classical physics, their value depend on the energy at which the measurement is performed.

SM couplings:

$$\alpha_1 = \frac{e^2}{4\pi} \quad \text{electromagnetic}$$

$$\alpha_2 = \frac{g^2}{4\pi} \quad \text{weak}$$

$$\alpha_3 = \frac{g_s^2}{4\pi} \quad \text{strong}$$





# SU(5)

SU(5) is the smallest example of unified gauge group for the standard model gauge interactions and an explicit model was first constructed by Georgi and Glashow in 1973. This is based on the fact that  $3 + 2 = 5$ :

$$\begin{pmatrix} SU(3) & * \\ * & SU(2) \end{pmatrix}$$

and a full generation of matter can be collected in just two objects (multiplets 5 and 10):

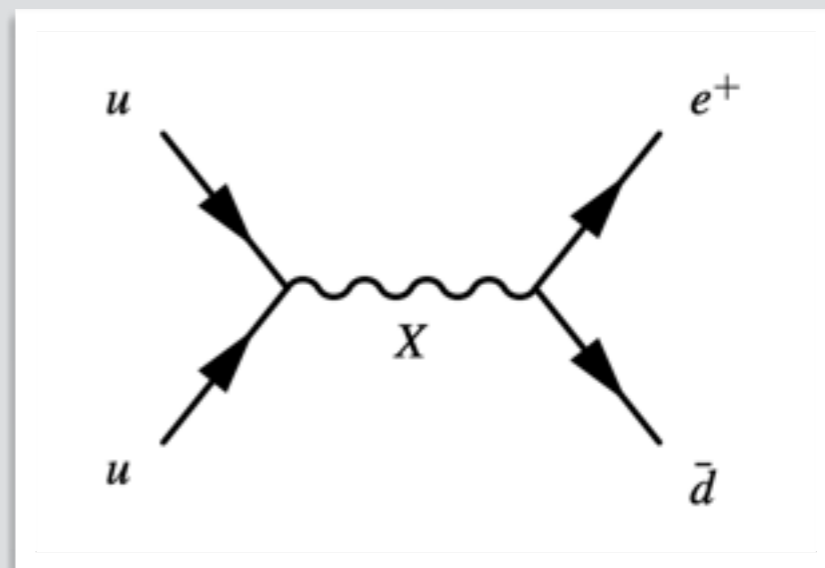
$$\begin{pmatrix} d_1 \\ d_2 \\ d_3 \\ e^+ \\ -\bar{\nu}_e \end{pmatrix}, \quad \text{and} \quad \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & \bar{u}_3 & -\bar{u}_2 & u_1 & d_1 \\ -\bar{u}_3 & 0 & \bar{u}_1 & u_2 & d_2 \\ \bar{u}_2 & -\bar{u}_1 & 0 & u_3 & d_3 \\ -u_1 & -u_2 & -u_3 & 0 & e^+ \\ -d_1 & -d_2 & -d_3 & -e^+ & 0 \end{pmatrix}$$

# SU(5)

SU(5) allows to assemble quarks and leptons in the same multiplets and to justify the quarks fractional charges. However the SU(5) unified force requires 24 mediators and some of them (called X and Y bosons) induce proton decay to an unacceptable rate.

$$A_M = \sum_{a=1}^{24} A_M^a T^a = \begin{pmatrix} \mathbf{G}_{ij} & \bar{\mathbf{X}}_i & \bar{\mathbf{Y}}_i \\ \mathbf{X}_i & W^3/2 & W^+/\sqrt{2} \\ \mathbf{Y}_i & W^-/\sqrt{2} & -W^3/2 \end{pmatrix}_M + \sqrt{\frac{3}{5}} B_M \begin{pmatrix} -\frac{1}{3} \mathbb{1}_{3 \times 3} & 0 \\ 0 & \frac{1}{2} \mathbb{1}_{2 \times 2} \end{pmatrix}$$

For example X exchange allows  $p^+ \rightarrow e^+ \pi^0$  ( $uud \rightarrow e^+ d \bar{d}$ )



# Grand Unification beyond SU(5)

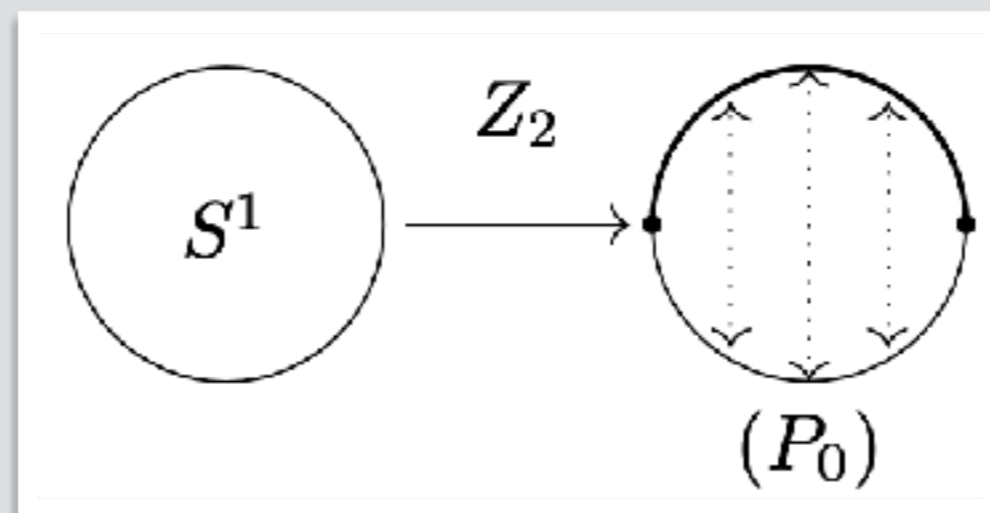
- Many other options were tried in terms of larger GUT symmetry groups, as SO(10) and exceptional groups like E6. The models may also include supersymmetry.
- Supersymmetry helps building more realistic models
- Proton decay inevitable to some extent
- Gauge hierarchy problem, why are  $M_W$  and  $M_Z$  so much less than  $M_X$  and  $M_Y$  ?
- Large scalar representations needed for the spontaneous symmetry breaking down to  $SU(3) \times U(1)_{em}$
- GUT theories are still effective theories (Landau poles at very high energy), need to be completed (string theory, etc.)

# Asymptotic unification

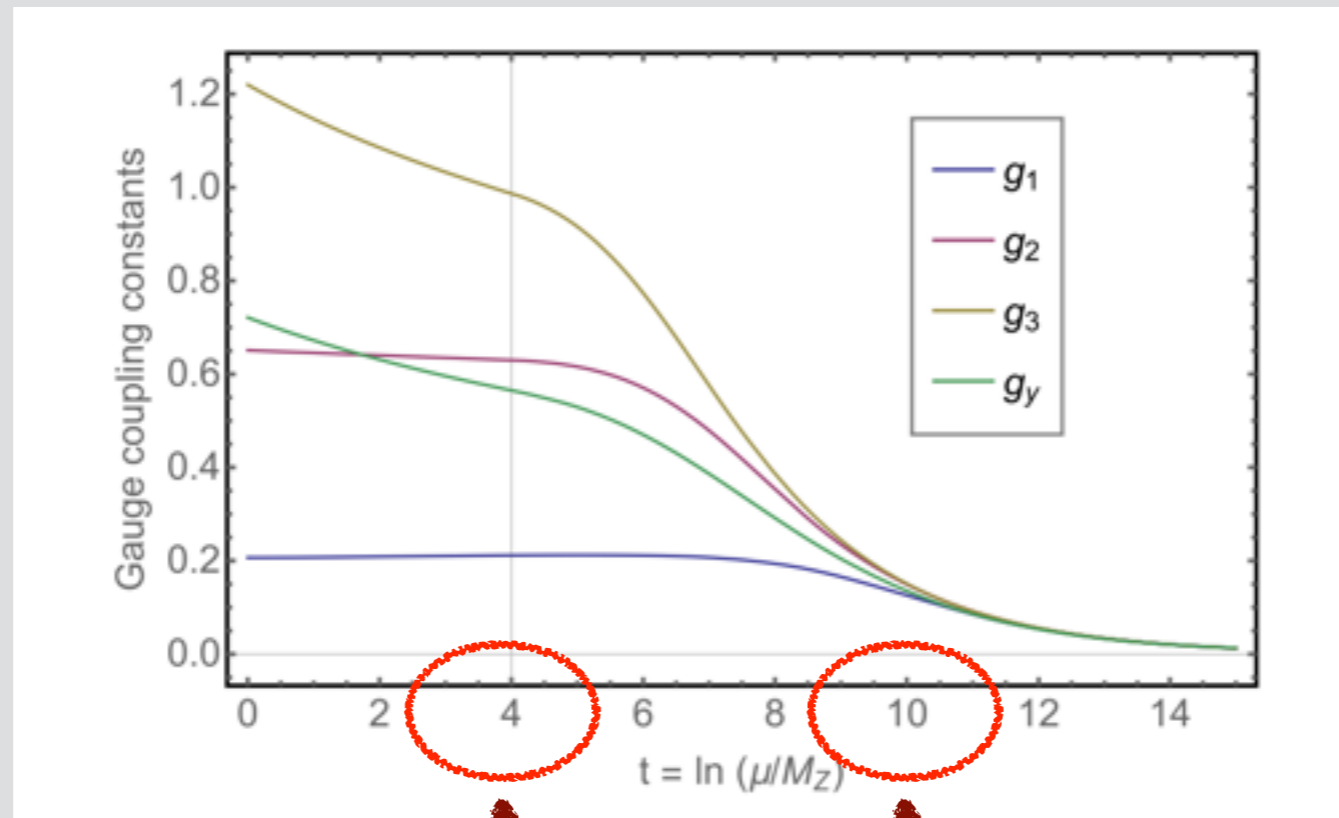
Standard gauge couplings unification requires a specific scale and “crossing” of the evolution of couplings.

Asymptotic unification is NOT unification in the usual sense, rather at high energies, flow towards a fixed point.

An essential ingredient to obtain a fixed point in this set-up is not only a specific particle content of the theory but also the presence of extra compact dimensions. The simplest example is a circle at each point of our 4D space time. However fermions in 5D ( $M_4 \times S^1$ ) are not chiral. An extra  $Z_2$  parity has to be imposed to obtain chiral SM fermions.



# Asymptotic unification



1<sup>st</sup> KK mode kicks in at  $t \sim 4$

“unification” sets around  $t \sim 10$  ( $\sim 200$  KK-modes)

Example in the EW sector with  $SU(3) \supset SU(2) \times U(1)$   
for gauge and Yukawa couplings (5D model)

# A gauge scalar from extra dimensions

$$A_M = (A_\mu, A_5)$$

Under orbifold projection vectors and scalars have opposite parity

$$A_M^+ = (A_\mu^+, A_5^-)$$

$$A_M^- = (A_\mu^-, A_5^+)$$

Contains a zero mode vector

Contains a zero mode scalar

5D gauge symmetry broken by parity projection (but 4D preserved)

Higgs scalar “protected” by the gauge symmetry (Hosotani mechanism), vev “geometrisation”

# A SU(3) toy model

SU(2)

U(1)

$$A_M^+ : \frac{1}{\sqrt{2}} \begin{bmatrix} W_3/2 & W^+/\sqrt{2} & 0 \\ W^-/\sqrt{2} & -W_3/2 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad \frac{1}{2\sqrt{3}} \begin{bmatrix} B & 0 & 0 \\ 0 & B & 0 \\ 0 & 0 & -2B \end{bmatrix}$$

Higgs

$$A_M^- : \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & 0 & h^+ \\ 0 & 0 & h^0 \\ h^- & h^{0*} & 0 \end{bmatrix}$$

Fermion matter  
bulk triplet

# Weinberg angle, fermion mass

$$A_M^- : \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & 0 & h^+ \\ 0 & 0 & h^0 \\ h^- & h^{0*} & 0 \end{bmatrix}$$

Higgs doublet, but U(1) charge

$$\frac{1}{2\sqrt{3}} (1 - (-2)) = \frac{\sqrt{3}}{2}$$

The group theory value for the Weinberg angle is not the correct one in SU(3)

$$g' = \sqrt{3} g \rightarrow \sin^2 \theta_W = \frac{3}{4}$$

Fermion mass related to W mass

$$m_f = m_W$$



# Renormalisation group evolution

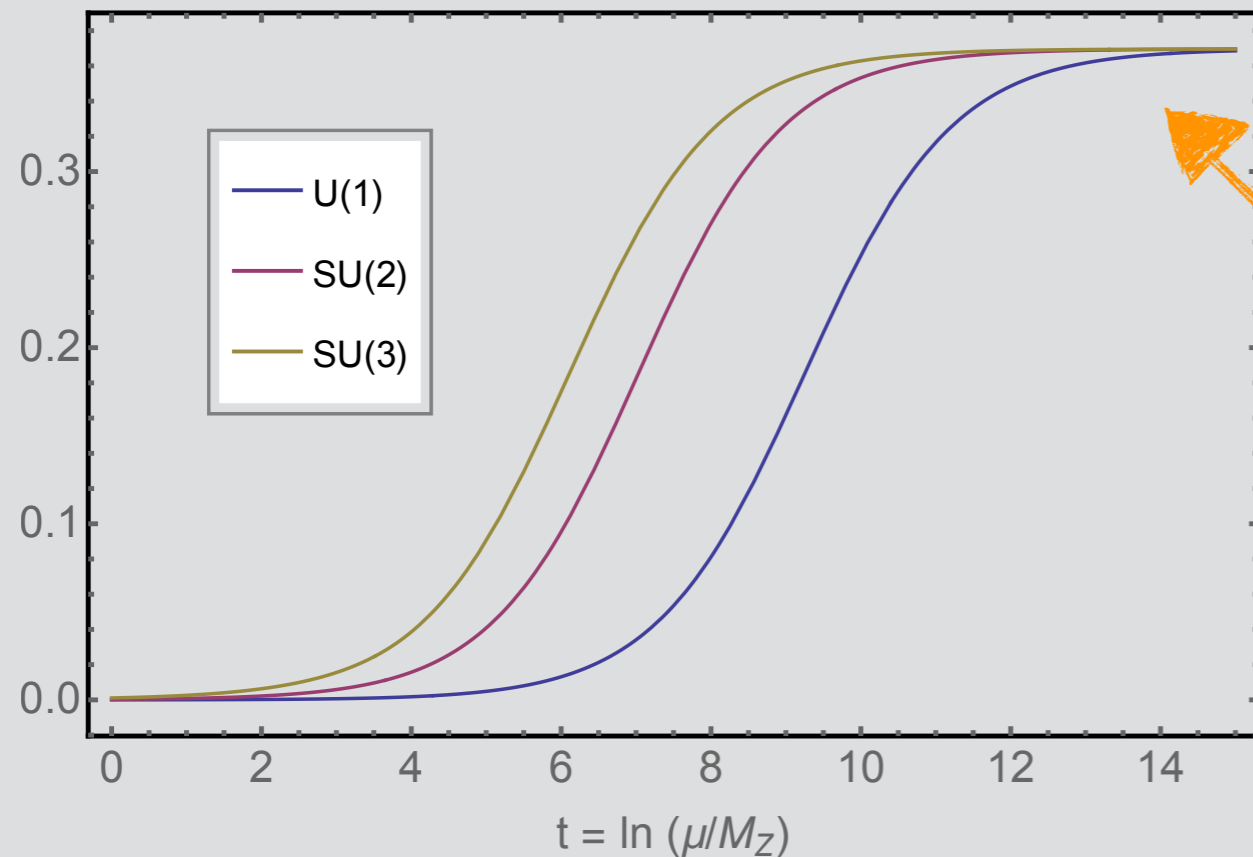


- Group theory prediction is at unification, need to run down at the EW scale
- $\Lambda$  and EW not that far, but running in  $X_{dim}$  is fast, linear effect, not log
- Here Yukawa coupling is also a gauge coupling

	$SU(2)_L$ $g$	$U(1)_Y$ $g'$	Yuk. $y$	$SU(3)_C$ $g_s$
SU(3) GHU	$g_{GHU}$	$\sqrt{3} g_{GHU}$	$g_{GHU}/\sqrt{2}$	–
SM	0.66	0.35	1.0	1.2

# Running of the gauge couplings

$$16\pi^2 \frac{dg_i}{dt} = b_i^{\text{SM}} g_i^3 + (S(t) - 1) b_i^{\text{GHU}} g_i^3$$



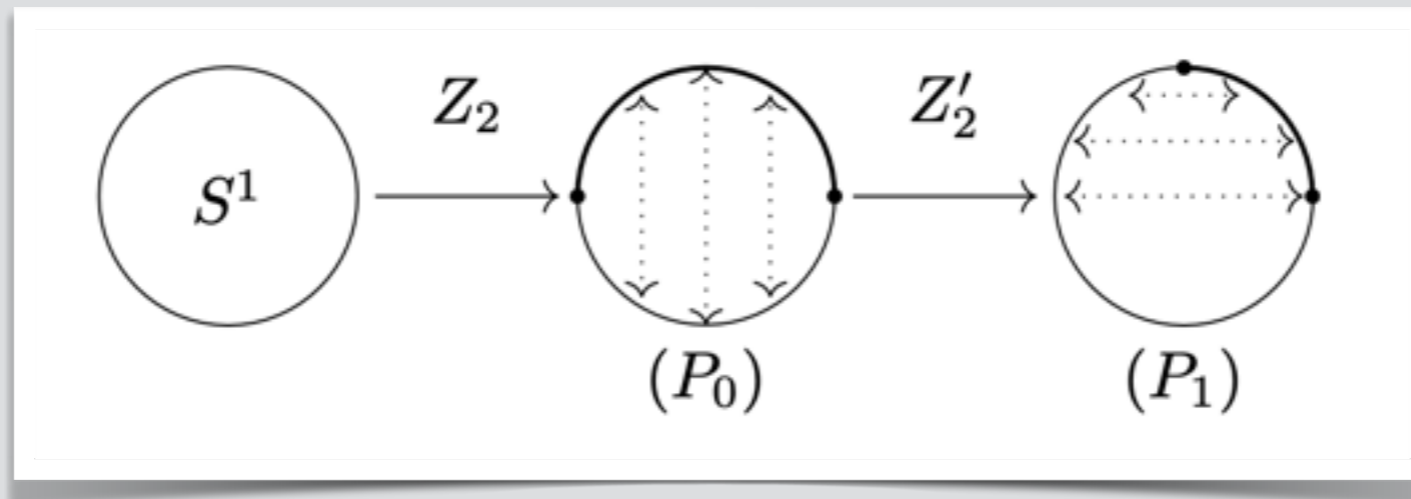
$S(t)$  encodes the sum of the KK contributions to the running

5d coupling is going to a constant  $\sim \alpha_4 E$  (asymptotically safe)

$$b_i^{\text{SM}} = \left[ \frac{41}{10}, -\frac{19}{6}, -7 \right], \quad b_i^{\text{SU}(3)} = \left[ -\frac{17}{6}, -\frac{17}{2}, -\frac{17}{2} \right]$$

# Simplest aGUT : $SU(5)$ embedding

- $SU(5)$  gauge symmetry in the bulk, broken to SM, via orbifold boundary conditions
- A single extra dimension compactified on an orbifold  $S^1/(Z_2 \times Z'_2)$



- SM matter multiplets are NOT the usual  $SU(5)$  ones due to boundary conditions, need to duplicate the usual structure

# Fermion non-unification

- Capital letter fields are the new “Indalo” fields
- Baryon and Lepton charge conserved
- No proton decay
- Dark matter candidate → Lightest Indalo



$$\psi_{1_{L/R}} = N, \quad \psi_{5_{L/R}} = \begin{pmatrix} b \\ L^c \end{pmatrix}_{L/R}, \quad \psi_{\bar{5}_{L/R}} = \begin{pmatrix} B^c \\ l \end{pmatrix}_{L/R},$$

$$\psi_{10_{L/R}} = \frac{1}{\sqrt{2}} \begin{pmatrix} T^c & q \\ & T^c \end{pmatrix}_{L/R}, \quad \psi_{\overline{10}_{L/R}} = \frac{1}{\sqrt{2}} \begin{pmatrix} t & Q^c \\ & \tau \end{pmatrix}_{L/R},$$

# Particle content overview

Field	$(\mathbb{Z}_2, \mathbb{Z}'_2)$	SM	Zero mode?	KK mass
$l$	(+, +)	<b>(1, 2, -1/2)</b>	✓	2/R
$L$	(+, -)		-	1/R
$\tau$	(-, -)	<b>(1, 1, -1)</b>	✓	2/R
$T$	(-, +)		-	1/R
$N$	(-, -)	<b>(1, 1, 0)</b>	✓	2/R
$q$	(+, +)	<b>(3, 2, 1/6)</b>	✓	2/R
$Q$	(+, -)		-	1/R
$t$	(-, -)	<b>(3, 1, 2/3)</b>	✓	2/R
$T$	(-, +)		-	1/R
$b$	(-, -)	<b>(3, 1, -1/3)</b>	✓	2/R
$B$	(-, +)		-	1/R
$\phi_h$	(+, +)	<b>(1, 2, 1/2)</b>	✓	2/R
$H$	(-, +)	<b>(3, 1, -1/3)</b>	-	1/R
$B_\mu$		<b>(1, 1, 0)</b>		
$W_\mu^a$	(+, +)	<b>(1, 3, 0)</b>	✓	2/R
$G_\mu^i$		<b>(8, 1, 0)</b>		
$A_X^\mu$	(-, +)	<b>(3, 2, -5/6)</b>	-	1/R

# Yukawa non-unification

$$\bar{\psi}_1 \psi_5 \phi_5 = \bar{N} \phi_h l + \bar{N} H B^c,$$

$$\begin{aligned} \sqrt{2} \bar{\psi}_5 \psi_{10} \phi_5^* &= \bar{b} \phi_h^* q - \bar{L}^c H^* q - \bar{L}^c \phi_h^* T^c \\ &+ \epsilon_3 \bar{b} H^* T^c, \end{aligned}$$

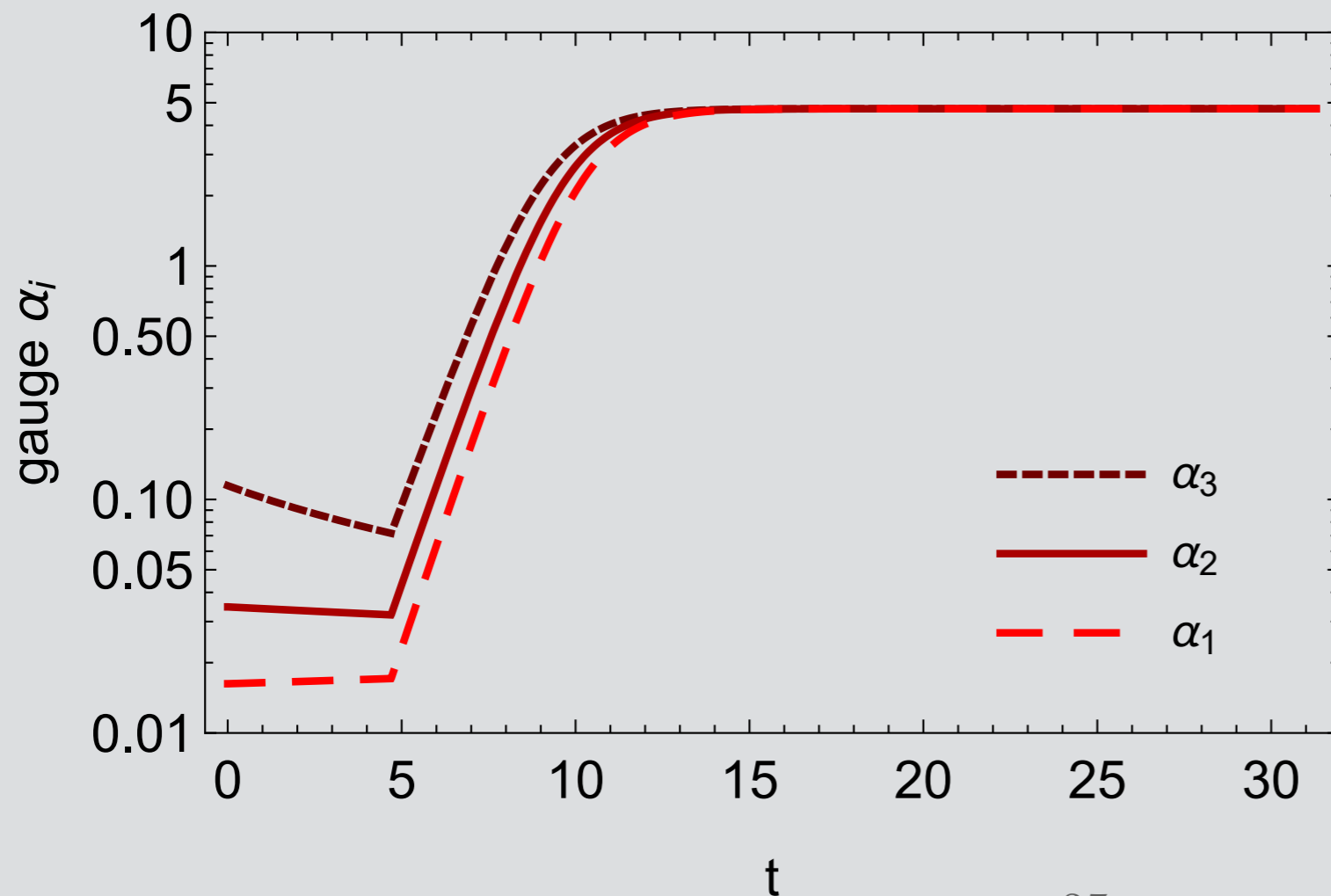
$$\begin{aligned} \sqrt{2} \bar{\psi}_{10} \psi_5 \phi_5^* &= -\bar{\tau} \phi_h^* l - \bar{Q}^c H^* l + \bar{Q}^c \phi_h^* B^c \\ &- \epsilon_3 \bar{\tau} H^* B^c, \end{aligned}$$

$$\begin{aligned} \frac{1}{2} \epsilon_5 \bar{\psi}_{10} \psi_{10} \phi_5 &= \bar{t} \phi_h q + \bar{t} H T^c + \bar{\tau} H T^c + \bar{Q}^c \phi_h T^c \\ &+ \epsilon_3 \bar{Q}^c H q, \end{aligned}$$

4 independent couplings, one for each SM Yukawa term

# RGE SU(5) aGUT running

- All couplings constants flow to the same non-zero UV fixed point ( $-2\pi/b_5$ ) asymptotically.
- Extra-dimensional one-loop factor is perturbative

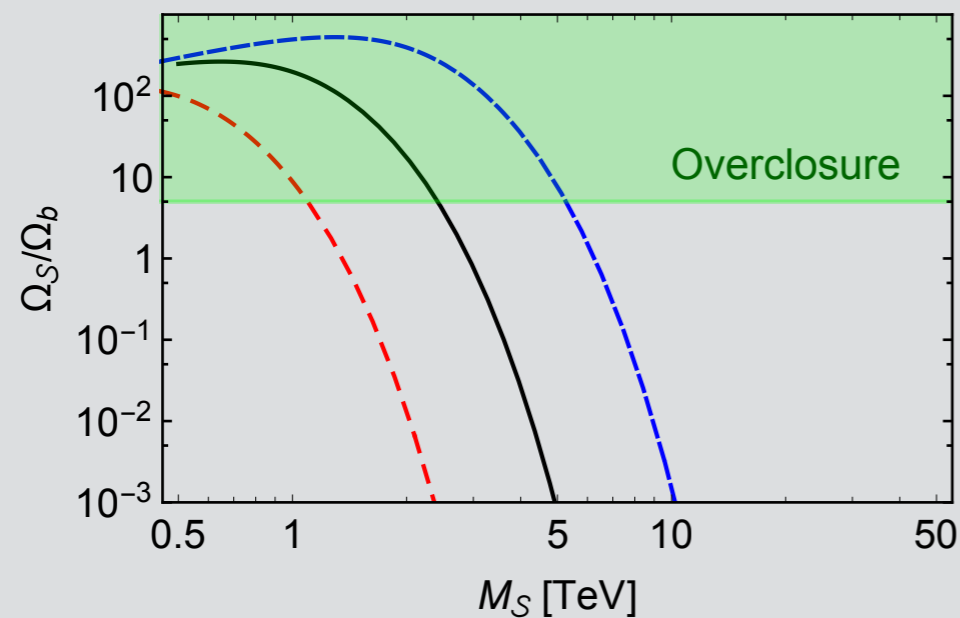


$$b_5 = -\frac{52}{3} + \frac{16}{3}n_g$$


$n_g$  number of fermion generations in the bulk

$$\alpha_i = \mu R \alpha_{4D}$$

# Baryogenesis, Indalogenesis and DM



assuming 1<sup>st</sup> order  
phase transition

- Indalo  particles carry both B and L charges, in values that are half of the SM unit charges
- It is not possible for them to decay into SM fields only and no proton decay
- The lightest state S is stable and a candidate for dark matter
- After the Indalo particles exit thermal equilibrium with the SM, they decay S + SM, and release some baryon number to the SM sector



# E6 aGUT

Supersymmetry allows to generate fermions as gauge fields (gauginos)

In E6, the adjoint **78** contains the SM fermions (in vector-like pairs)

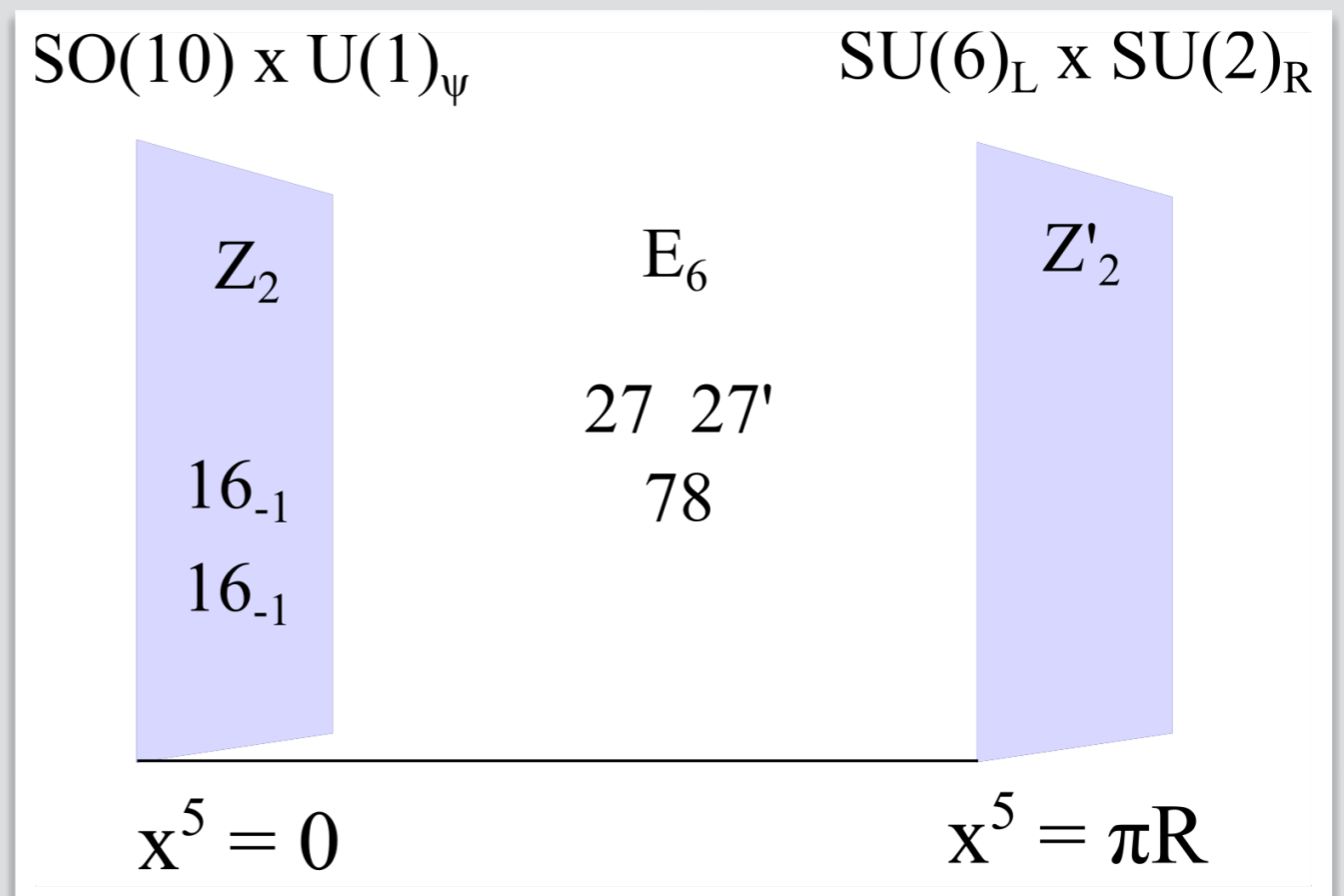
Right-handed SM fermions from the adjoint (gauginos)

Gives rise to a 4D **Pati-Salam**  $SU(4) \times SU(2)_L \times SU(2)_R \times U(1)_\psi$

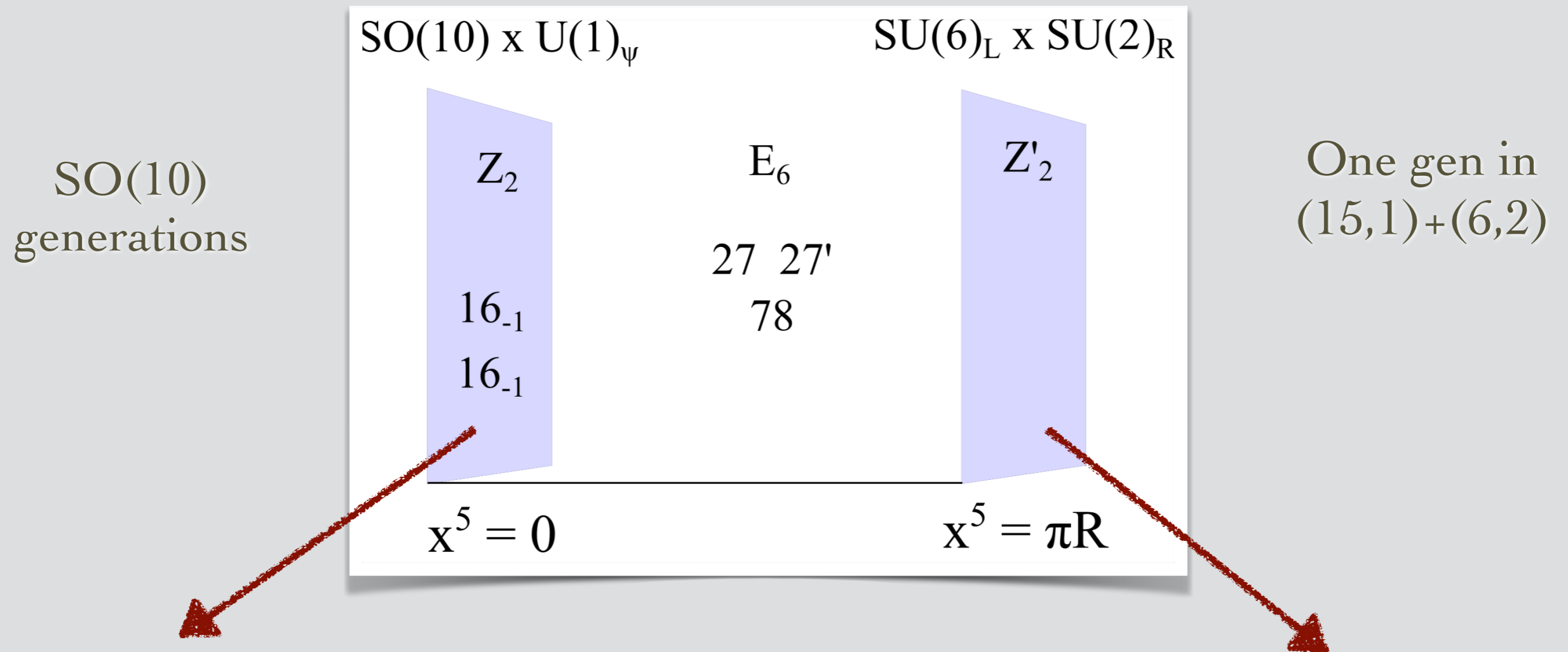
Left-handed fermions and Higgs(es) from the 27

27' to give mass to unwanted states

see 2302.11671 for details



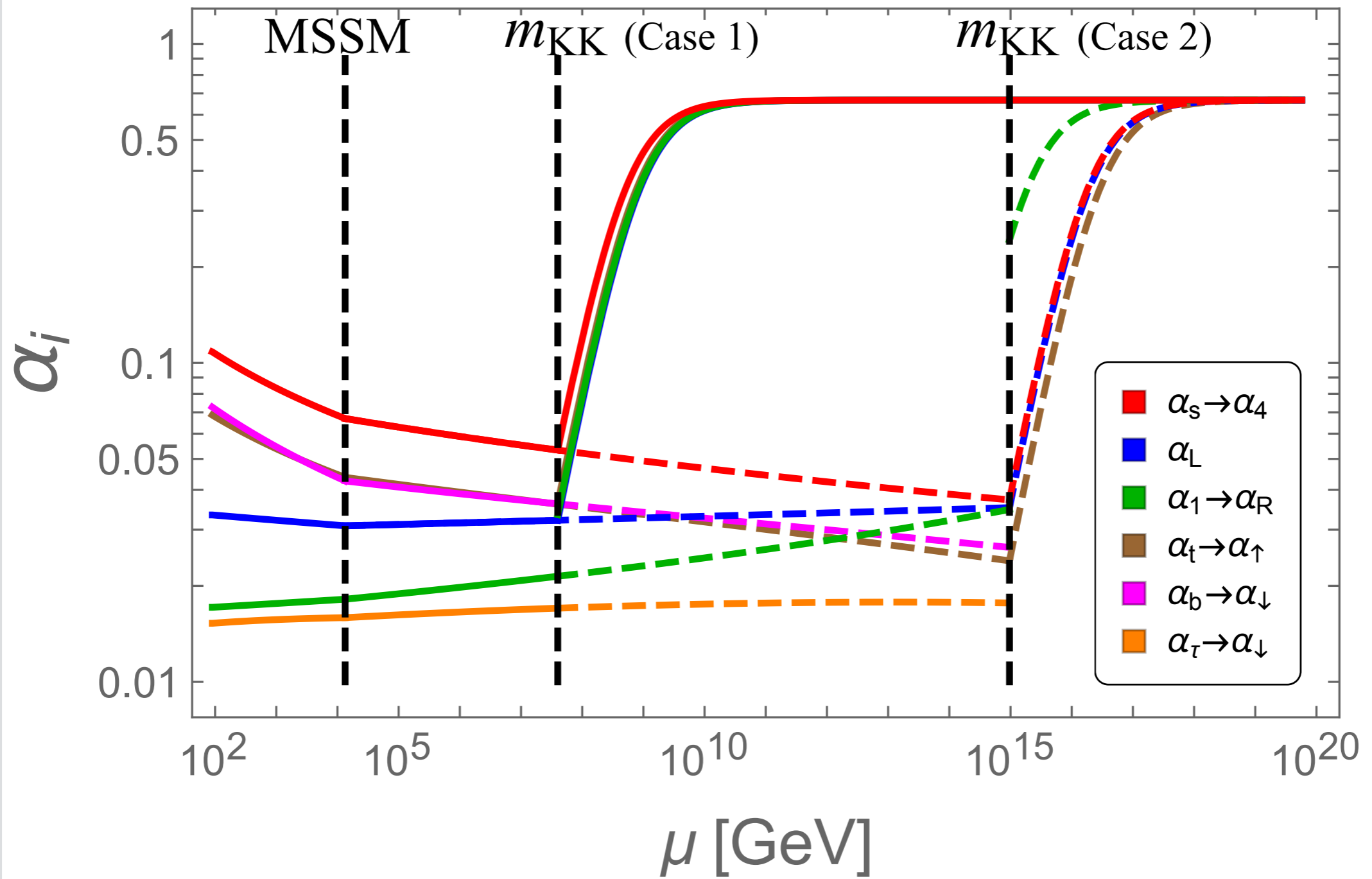
# E6 aGUT




Model 1 :  
 Predicts 2 generations  
 “Usual” SO(10) model building  
 Scale high by proton decay

Model 2 :  
 Light generations preserve baryon number  
 Number of generations not predicted  
 Scale can be lowered (1000’s TeV) from PS

# Fixed point



# Conclusions

- New paradigm on (asymptotic) unification (aGUT)
- A extra-dimensional motivated examples
- No proton decay
- Yukawa evolution constrained
- A dark Matter candidate (the lightest -field)
- Baryogenesis can be reproduced (typical mass 2-6 TeV)
- Flavour observables under study