

$\uparrow$   
 $\psi(x)$



$$\Delta E = \frac{\hbar^2}{2m} \frac{\pi^2}{L^2} ((n+1)^2 - n^2)$$

$$\Delta E = \frac{\hbar^2}{2m} \frac{\pi^2}{L^2} (2n+1)$$

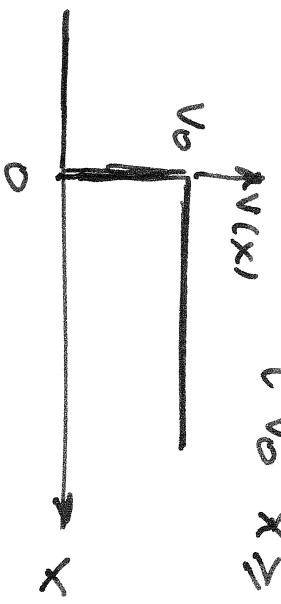
$$\lim_{\hbar \rightarrow 0} \Delta E = 0$$

Classical limit: Continuum.



2) Solve the time independent Schrödinger equation for a particle scattering off a step-function potential

$$V(x) = \begin{cases} 0 & x < 0 \\ V_0 & x \geq 0 \end{cases} \text{ . Consider } E < V_0 \text{ \& } E > V_0 \text{ .}$$



$$\frac{d^2 \psi(x)}{dx^2} + \frac{2m}{\hbar^2} [E - V(x)] \psi(x) = 0$$

$$k^2 = \frac{2mE}{\hbar^2} \quad ; \quad q^2 = \frac{2m(E - V_0)}{\hbar^2}$$

a)  $x < 0$       $\psi(x) = A e^{ik \cdot x} + B e^{-ik \cdot x}$   
 $= e^{ik \cdot x} + R e^{-ik \cdot x}$

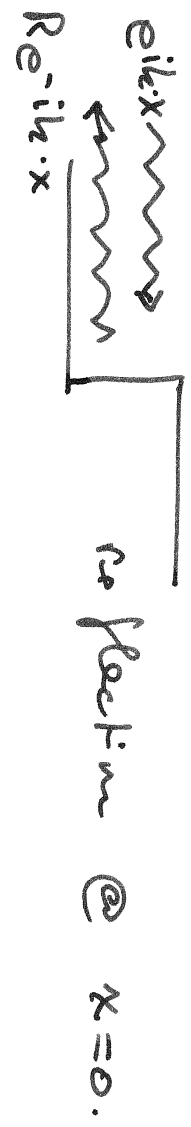
where  $R \equiv B/A$      $\theta$      $A = 1$ .

$$j_x = \frac{i\hbar}{2m} \left( \psi \frac{d\psi^*}{dx} - \psi^* \frac{d\psi}{dx} \right)$$

$$j_x = \frac{\hbar k}{m} (1 - |R|^2)$$

where  $|R| \neq 0$  signals the presence of the potential (step) @  $x \geq 0$ .

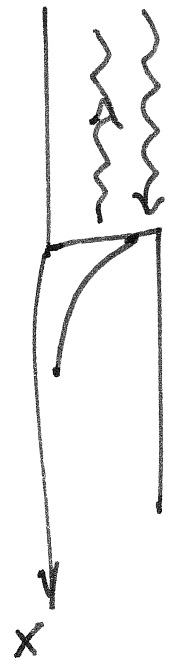
$E_0$  time:



ⓑ  $x > 0$  if  $E < V_0$ ,  $q^2 < 0 \therefore q = ik$

$$k = \frac{2m(V_0 - E)}{\hbar^2}$$

$u(x) \propto e^{-kx}$   
exponentially suppressed.



For  $E > V_0$   $q$  is real

$$\begin{cases} u(x) = T e^{iqx} & x \geq 0 \\ jx = \frac{\hbar q}{m} |T|^2 \end{cases}$$

Boundary conditions: Continuity of wave function & its first derivative at the boundary.

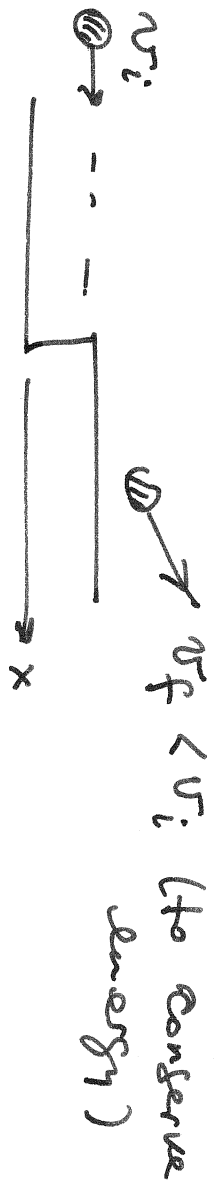
$$u(x)|_{0^-} = u(x)|_{0^+} \quad (a)$$

$$\left. \frac{du}{dx} \right|_{0^-} = \left. \frac{du}{dx} \right|_{0^+} \quad (b)$$

(a):  $1 + R = T$

(b):  $ik(1 - R) = iqT$   $\searrow$   $R = \frac{k - q}{k + q}$  ;  $T = \frac{2k}{k + q}$

Classical Case ( $\hbar \rightarrow 0$ )



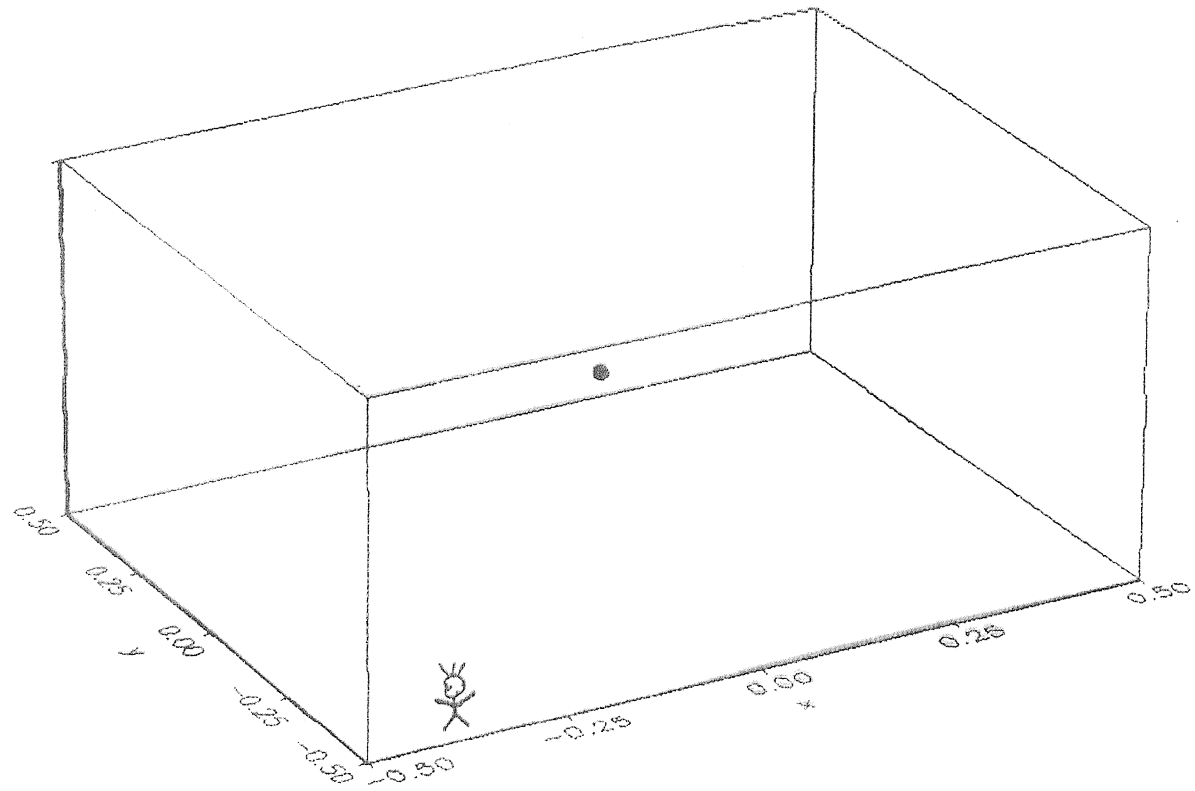
There is NO REFLECTION classically,  
i.e.  $R=0$ .

Quantum case ( $\hbar \neq 0$ )

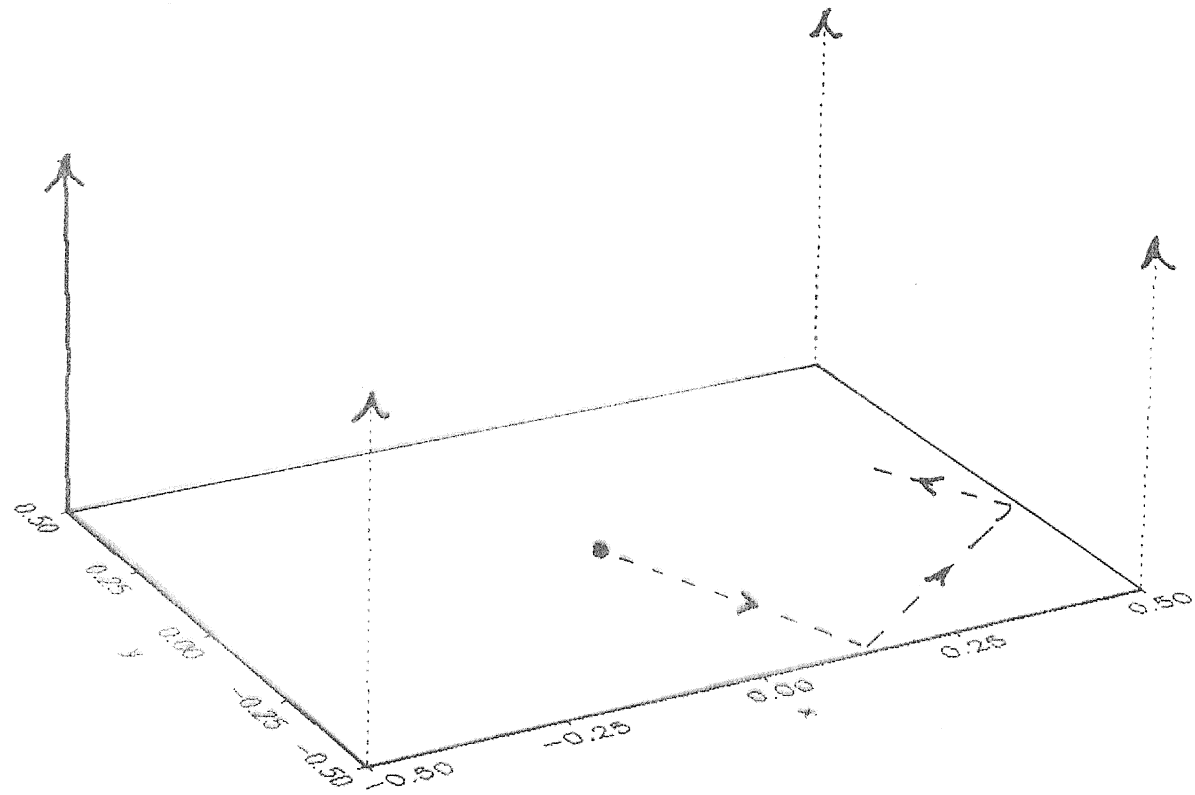
$$R \neq 0$$

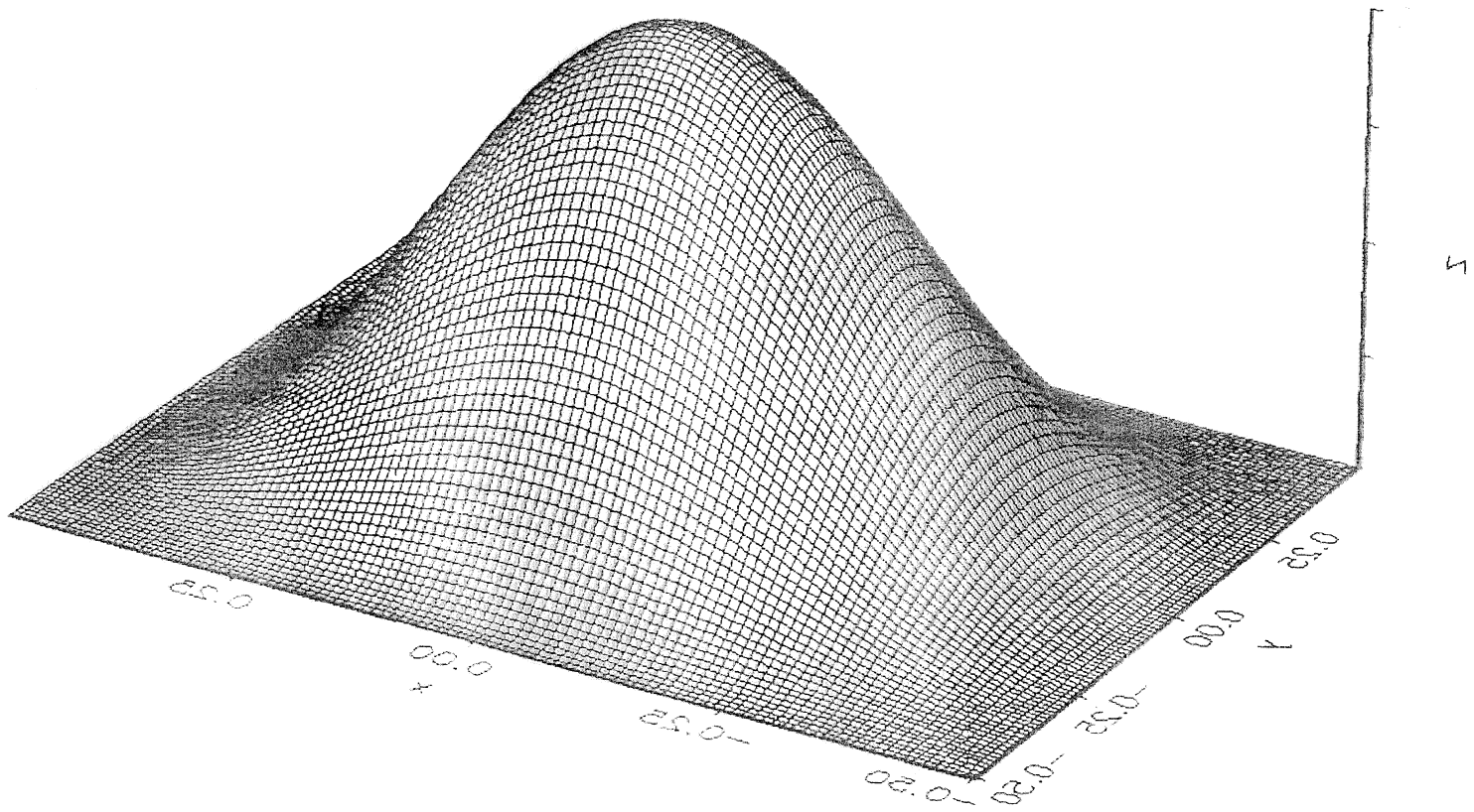
Hence, it "seems" as if the particle is behaving as a wave. BOH, it is neither a particle nor a wave. There are macroscopic concepts based on brain of those from macroscopic experience. At the quantum level, in non-relativistic Quantum Mechanics, there is no solution to this puzzle. Must wait for Quantum Field Theory to define a particle.

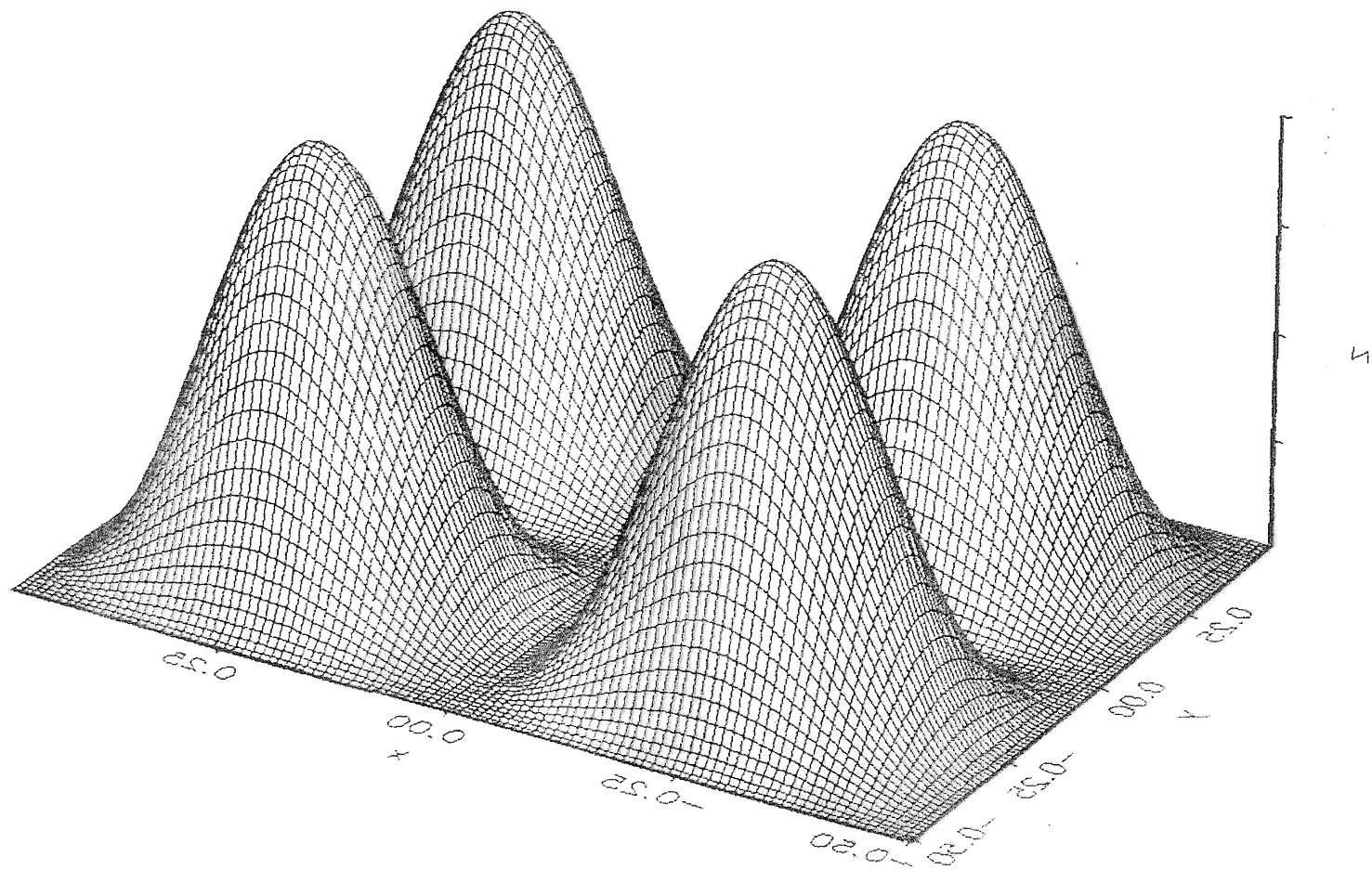
3) Solve Schrödinger's eqn. for a particle inside a rectangular box (2 dimensions) of length  $L$  (free particle in the box).

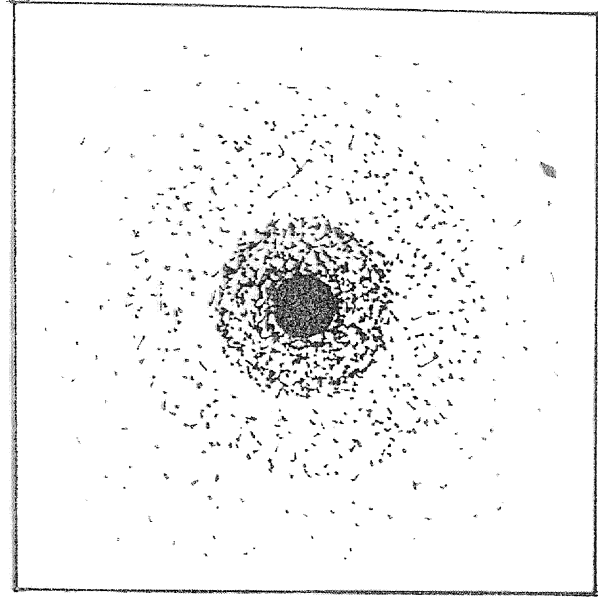
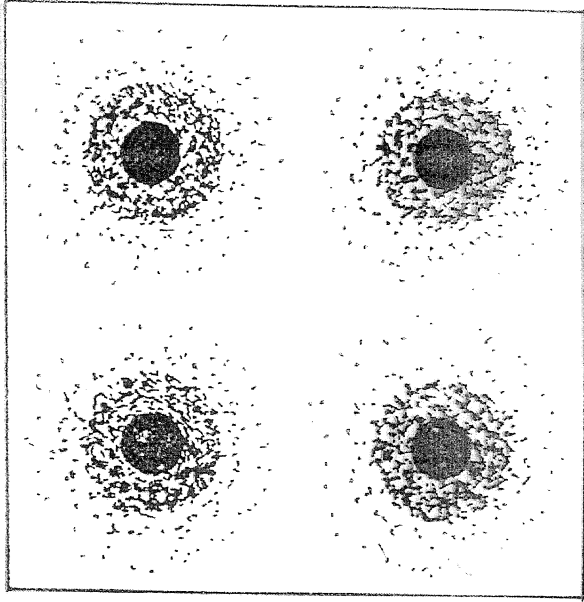


1)

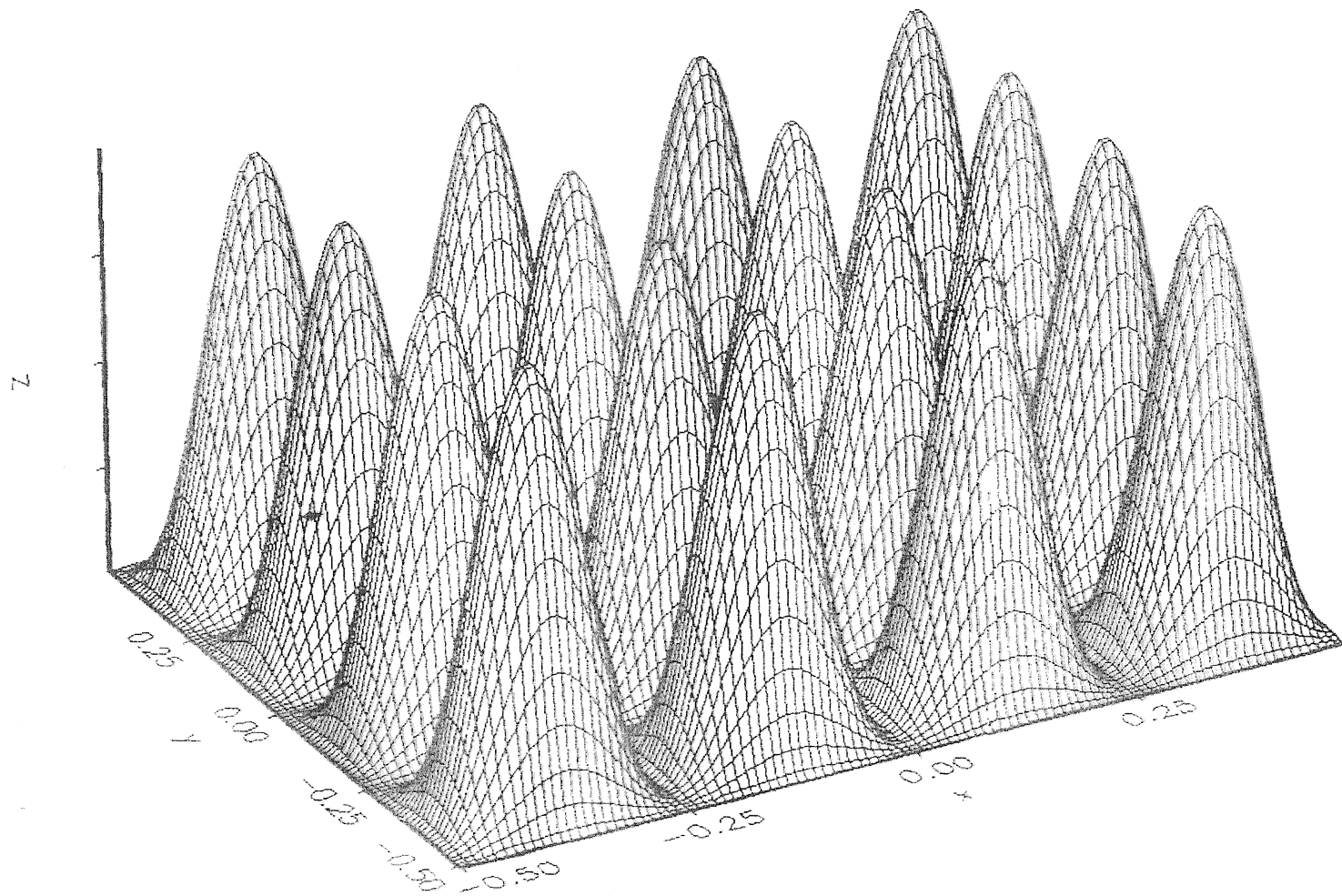


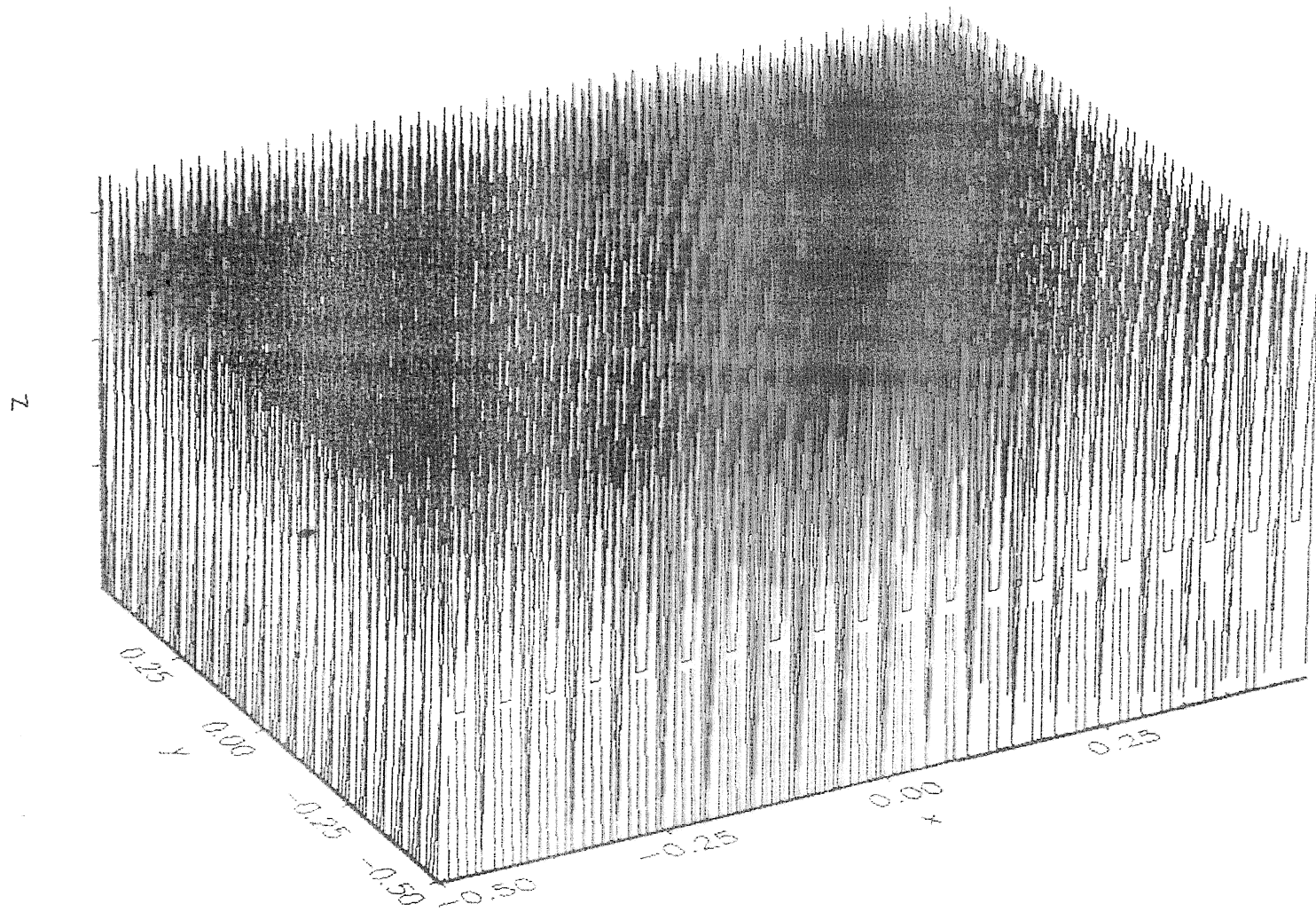












# LECTURE II: RELATIVISTIC QUANTUM MECHANICS (30)

Recipes for transition from Classical Mechanics to Quantum Mechanics:

$$H_{NR} = \frac{\vec{p}^2}{2m} + V(r) \Rightarrow \hat{H}_{QM} = \frac{\hat{p}^2}{2m} + \hat{V}(r) \quad (\text{II.1})$$

where  $\hat{O}$  stands for a QM operator, e.g.

$$E \rightarrow i\hbar \frac{\partial}{\partial t} ; \quad \vec{p} \rightarrow -i\hbar \vec{\nabla} \quad (\text{II.2})$$

$$\hat{H}_{QM} \psi(\vec{r}, t) = E \psi(\vec{r}, t) \quad (\text{II.3})$$

$$\dots (\text{II.4}) \quad -\frac{\hbar^2}{2m} \nabla^2 \psi(\vec{x}, t) + V(\vec{x}, t) \psi(\vec{x}, t) = i\hbar \frac{\partial \psi}{\partial t}$$

To describe an  $e^-$  we need to introduce spin by hand, i.e.

$$\vec{p} \rightarrow \left( \vec{p} - \frac{e}{c} \vec{A} \right) \quad (\text{II.5})$$

$$\psi \rightarrow \varphi = \chi \begin{pmatrix} \chi_u \\ \chi_d \end{pmatrix} \quad (\text{II.6})$$

$\underbrace{\hspace{10em}}_{\text{spinor}}$

leads to the Pauli eqn.

$$(\text{II.7}) \quad i\hbar \frac{\partial \varphi}{\partial t} = \left[ \frac{1}{2m} \left( \vec{p} - \frac{e}{c} \vec{A} \right)^2 + e\Phi - \frac{e\hbar}{2mc} \vec{\sigma} \cdot \vec{B} \right] \varphi$$

$\vec{\sigma} = (\sigma_1, \sigma_2, \sigma_3)$  are the Pauli matrices.

Relativistic QM:

$$E_R^2 = \vec{p}^2 c^2 + m_0^2 c^4 \quad \xrightarrow{c=1} \quad \vec{p}^2 + m_0^2$$

$$E_R = \pm \sqrt{\vec{p}^2 + m_0^2}$$

(31)

↑ PROBLEM  $\Rightarrow$  what is  $(-)|E_R|$ ?

In addition  $E_R = \pm \sqrt{-i\hbar \vec{\nabla}^2 + m_0^2}$  ???

The square-root is not "analytic". -  
 "Solution": Apply it twice, i.e.

$$\left[ (-i\hbar \vec{\nabla})^2 c^2 + m_0^2 c^4 \right] \phi(x) = (-i\hbar \frac{\partial}{\partial t})^2 \phi(x)$$

$$\underbrace{\left( \frac{1}{c^2} \frac{\partial^2}{\partial t^2} - \nabla^2 \right)}_{\square^2 = \partial_r^2} \phi(x) + \frac{m_0^2 c^2}{\hbar^2} \phi(x) = 0$$

Klein-Gordon equation  $\left[ \square^2 + m_0^2 \left( \frac{c^2}{\hbar^2} \right) \right] \phi(x) = 0$  (5.8)

$\square^2$  &  $m_0$  are Lorentz scalars, hence  $\phi(x)$  is also a Lorentz scalar.

Recall solutions to  $\square^2 \psi(\vec{x}, t) = 0$  are plane waves. Hence try

$$\phi(x) = f(\vec{x}) e^{-i\omega t}$$

where  $f(\vec{x}) \propto e^{\pm i\vec{p} \cdot \vec{x}}$ . Substituting in (5.8)

$$(-\omega^2 - \nabla^2 + m_0^2) f(\vec{x}) = (-\omega^2 + \vec{p}^2 + m_0^2) f(\vec{x}) = 0$$

$$\therefore \omega = \pm \sqrt{\vec{p}^2 + m_0^2} = \pm E$$

the energy. The negative sign remains.

Free particle solutions  $\phi^{(\pm)}(x) = f(\vec{x}) e^{\mp iEt}$

Klein Gordon current:

$$\phi^*(x) [\partial_t \partial_t + m_0^2] \phi(x) = 0$$


---


$$-\phi(x) [\partial_t \partial_t + m_0^2] \phi^*(x) = 0$$

subtracting

$$J_\mu = i(\phi^* \partial_\mu \phi - \phi \partial_\mu \phi^*)$$

$$a \partial b \equiv a \partial b - (a \partial) b \quad \boxed{J_\mu = i \phi^* \overleftrightarrow{\partial}_\mu \phi} \quad (\text{II.9})$$

Check that  $\boxed{\partial^\mu J_\mu = 0}$  (II.10)

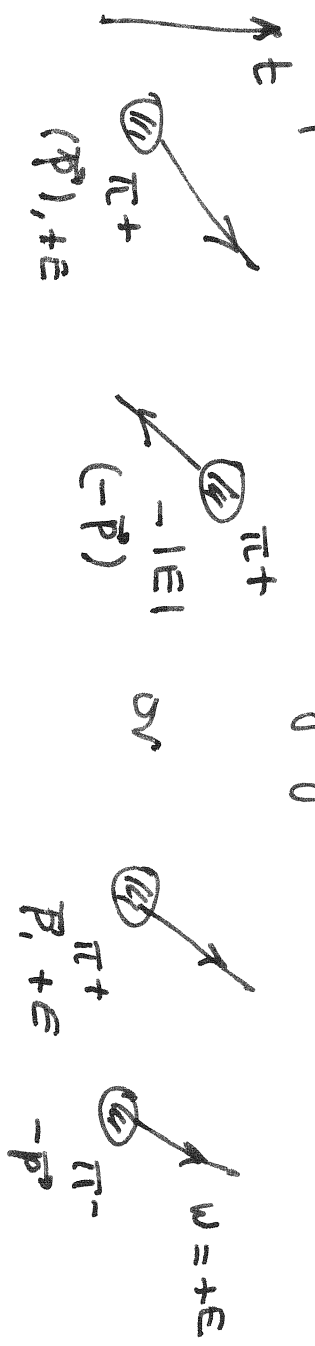
Current conservation.

$$J^0 \equiv \rho; \quad \vec{J} = 2\vec{p} |f(\vec{x})|^2; \quad \text{but } \rho = \pm 2E |f|^2$$

Hence, due to the  $\pm$  sign,  $\rho$  is not positive definite, hence, it cannot be a probability density. Klein-Gordon: 1926 - Pauli-Weiskopf

solution only in 1934:  $\phi^{(-)}(x) \propto e^{i\vec{p}\cdot\vec{x} - iE(-t)}$

Negative  $E$  solution is a particle moving backwards in time or equivalently, an antiparticle ( $E < 0$ ) moving forward in time.



Free-particle solutions

$$\left\{ \begin{array}{l} \phi_{\pm \vec{p}}^{(\pm)}(x) = c_{\vec{p}} e^{\pm i \vec{p} \cdot \vec{x}} e^{\mp i E t} \end{array} \right. \quad (\text{II.11})$$

with  $c_{\vec{p}}$  a constant.

TUTORIAL.

1) Show that  $i \int d^3x \phi_{\vec{p}_1}^{(+)*}(x) \overleftrightarrow{\partial}_t \phi_{\vec{p}}^{(-)}(x) = 0$

2) Show that  $i \int d^3x \phi_{\vec{p}_0}^{(\pm)*}(x) \overleftrightarrow{\partial}_t \phi_{\vec{p}}^{(\pm)}(x) = \pm \delta^{(3)}(\vec{p} - \vec{p}_0)$

if the constant is chosen as

$$|c_{\vec{p}}|^2 = \frac{1}{(2\pi)^3 2E} \quad (\text{II.12})$$

the most general  $K \in$  free particle wave function is a linear superposition of (II.11), i.e.

$$\phi(x) = \int d^3p [a_{\vec{p}} \phi_{\vec{p}}^{(+)}(x) + b_{\vec{p}} \phi_{-\vec{p}}^{(-)}(x)] \quad (\text{II.13})$$

where  $a_{\vec{p}}$  &  $b_{\vec{p}}$  are Fourier coefficients, and often  $\int d^3p$  is written as  $\sum_{\vec{p}}$

TUTORIAL

Solve the KG equation for a step-function potential  $V(x) = \begin{cases} 0 & x < 0 \\ V_0 & x \geq 0 \end{cases}$  (in one dimension)

Consider  $x < 0$  and  $x > 0$ , as well as  $E > V_0$  and  $E < V_0$ . Using the boundary conditions at  $x=0$  fix all coefficients in terms of e.g. that of the incoming plane wave.

$\phi_{\text{LEFT}} = A e^{i\vec{p} \cdot \vec{x}} + B e^{-i\vec{p} \cdot \vec{x}}$   
 incident reflected

$\phi_{\text{RIGHT}} = C e^{-i\vec{p}' \cdot \vec{x}}$   
 transmitted  $\left\{ \begin{array}{l} p = \sqrt{E^2 - m_0^2} \\ p' = \sqrt{(E - V_0)^2 - m_0^2} \end{array} \right.$



$$A + B = C$$

$$p(A - B) = p' C \quad \Rightarrow \quad B/A = \frac{1 - p'/p}{1 + p'/p} \equiv \frac{1 - r}{1 + r}$$

$$C/A = \frac{2}{1 + p'/p} \equiv \frac{2}{1 + r}$$

$$\vec{J} = -i \phi^* \overleftrightarrow{\partial}_x \phi \Big|_{x=0} \Rightarrow J_{\text{inc}} = 2p|A|^2$$

$$J_{\text{refl.}} = -2p|B|^2$$

$$J_{\text{trans}} = 2p'|C|^2$$

$$\left| \frac{J_{\text{refl.}}}{J_{\text{inc}}} \right| = \frac{|B|^2}{|A|^2} = \left| \frac{1-r}{1+r} \right|^2$$

$$\left| \frac{J_{\text{trans}}}{J_{\text{inc}}} \right| = \frac{p'}{p} \left| \frac{2}{1+r} \right|^2$$

a)  $(E - V_0) < \mu_0$  ;  $P' = i |P'|$  ,  $r = i |r|$

$$\left| \frac{j_{\text{refl}}}{j_{\text{inc}}} \right| = \left| \frac{1 - i |r|}{1 + i |r|} \right|^2 = \frac{1 - i |r|}{1 + i |r|} \frac{1 + i |r|}{1 - i |r|} = 1$$

$$\left| \frac{j_{\text{trans}}}{j_{\text{inc}}} \right| = i |r| \left| \frac{-2}{1+r} \right|^2 \therefore \text{Re} \left| \frac{j_{\text{trans}}}{j_{\text{inc}}} \right| = 0$$

There is no transmission!

b)  $(E - V_0) > \mu_0$  ;  $P'$  is now real!

$$r \leq 1$$

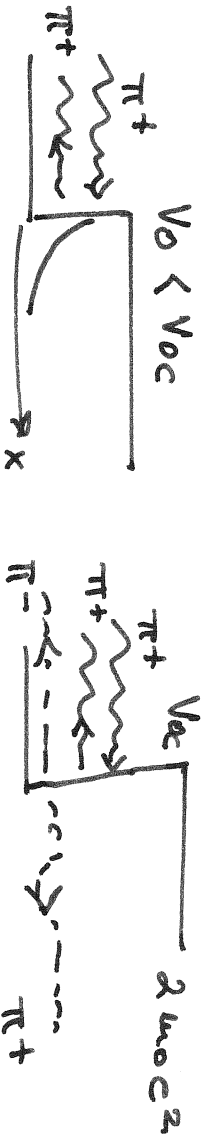
$$\left| \frac{j_{\text{refl}}}{j_{\text{inc}}} \right| < 1 , \quad \left| \frac{j_{\text{trans}}}{j_{\text{inc}}} \right| = \frac{4+r}{(1+r)^2} < 1 \text{ (not real!)}$$

The critical  $V_0$  is

$$(E - V_0)^2 = (\mu_0 c)^2 (E < V_0)$$

The minimal  $E$  is  $E_{\text{min}} = \mu_0 c^2 > 0$  (for  $\vec{p}=0$ )

Hence  $V_0 = E_{\text{min}} + \mu_0 c^2 = 2 \mu_0 c^2$



For  $V_0 \gtrsim 2 \mu_0 c^2$  a  $\pi^+ \pi^-$  is produced at  $x=0$ . When  $V$  is  $4 \mu_0 c^2$  a four- $\pi$

state is produced, etc.



Dirac Equation (1934)

The Klein-Gordon eqn. can be obtained by operating with  $E_R^2 = \vec{p}^2 + m_0^2 \Rightarrow (-i\partial)^2 + m_0^2$ , instead of  $E_R = \pm \sqrt{\vec{p}^2 + m_0^2}$ .

Dirac eqn. is the result of a clever way of interpreting the square root, viz.

$$\sqrt{a^2 + b^2} \neq a + b, \text{ however}$$

$$\sqrt{a^2 + b^2} \stackrel{?}{=} \alpha a + \beta b \quad \text{if } \alpha, \beta \text{ are not ordinary numbers.}$$

In fact, there is such an algebra, the Clifford algebra, viz.

$$\sqrt{(-\partial^\mu \partial_\mu - m_0^2)} \Rightarrow i \gamma^\mu \partial_\mu - m_0 \underline{1}, \quad (\text{II.14})$$

where  $m_0$  is assumed real, i.e.  $m_0^* = m_0$ , and  $\gamma^\mu$  is a 4-vector in Minkowski space, but with elements that are not ordinary numbers,

$$\gamma^\mu = (\gamma_0, \vec{\gamma}) ; \quad \gamma_\mu = g_{\mu\nu} \gamma^\nu = (\gamma_0, -\vec{\gamma})$$

The properties of the components,  $\gamma_0, \gamma_i$  will need to be determined.

With (II.14) we find the Dirac eqn.

$$\boxed{(i \gamma^\mu \partial_\mu - m_0) \psi(x) = 0} \quad (\text{II.15})$$

The Dirac equation should lead to the relativistic expression

$$\underline{p^{\mu} p_{\mu}} = m_0^2 \quad (\text{II.16})$$

Applying the operator  $(i\gamma^{\mu} \partial_{\mu} + m_0)$  to Eq.(II.15)

$$(i\gamma^{\mu} \partial_{\mu} + m_0)(i\gamma^{\nu} \partial_{\nu} - m_0)\psi(x) = 0 \quad (\text{II.17})$$

The object  $\gamma^{\mu} \gamma^{\nu}$  is a 4-tensor, which can be decomposed into symmetric & antisymmetric pieces, i.e.

$$\gamma^{\mu} \gamma^{\nu} = \frac{1}{2} (\gamma^{\mu} \gamma^{\nu} + \gamma^{\nu} \gamma^{\mu}) + \frac{1}{2} (\gamma^{\mu} \gamma^{\nu} - \gamma^{\nu} \gamma^{\mu}) \quad (\text{II.18})$$

Recalling that the product of a symmetric & an antisymmetric tensor vanishes (prove it by yourself), and  $\partial_{\mu} \partial_{\nu}$  is a symmetric tensor, we find from (II.17)

$$\left[ -\frac{1}{2} \{ \gamma^{\mu}, \gamma^{\nu} \} \partial_{\mu} \partial_{\nu} - m_0^2 \right] \psi(x) = 0, \quad (\text{II.19})$$

where the anti-commutator is defined as

$$\{ \gamma^{\mu}, \gamma^{\nu} \} \equiv \gamma^{\mu} \gamma^{\nu} + \gamma^{\nu} \gamma^{\mu} \quad (\text{II.20})$$

Using  $-\frac{1}{2} \{ \gamma^{\mu}, \gamma^{\nu} \} \partial_{\mu} \partial_{\nu} = \frac{1}{2} \{ \gamma^{\mu}, \gamma^{\nu} \} p_{\mu} p_{\nu}$  (II.21)

Eq. (II.19) becomes

$$\left[ \frac{1}{2} \{ \gamma^{\mu}, \gamma^{\nu} \} p_{\mu} p_{\nu} - m_0^2 \right] \psi(x) = 0 \quad (\text{II.22})$$

In order to recover Eq. (II.16) the  $\gamma$ 's must satisfy

$$\left\{ \frac{1}{2} \{ \gamma^\mu, \gamma^\nu \} = g^{\mu\nu} \right. \quad (\text{II.23})$$

which defines the (anti-commuting) Clifford algebra. We obtain now some other properties of  $\gamma^\mu$ :

$$\{ \gamma^\mu, \gamma^\nu \} = 2g^{\mu\nu} \Rightarrow \left\{ \begin{array}{l} \gamma_0 \gamma_0 + \gamma_0 \gamma_0 = 2(\gamma_0)^2 = 2g^{00} = 2 \\ \gamma^i \gamma^i + \gamma^i \gamma^i = 2(\gamma^i)^2 = 2g^{ii} = -2 \end{array} \right.$$

$$\boxed{(\gamma_0)^2 = +1}$$

$$\boxed{(\gamma^i)^2 = -1}$$

(II.24)

Eigenvalue equations:

$$(\gamma_0)^2 = 1; \quad \gamma_0 (\gamma_0^2) = (\gamma_0)^3 = \gamma_0, \quad \dots$$

$$\boxed{[(\gamma_0)^2 - 1] \gamma_0 = 0}$$

(II.25)

Hence  $\gamma_0$  has eigenvalues  $\pm 1$

$$(\gamma_i)^2 = -1; \quad \gamma_i (\gamma_i)^2 = -\gamma_i, \quad \dots$$

$$\boxed{[(\gamma_i)^2 + 1] \gamma_i = 0}$$

(II.26)

Hence  $\gamma^i$  has eigenvalues  $\pm i$ .

From  $\{ \gamma^\mu, \gamma^\nu \} = 2g^{\mu\nu}$ , with  $\mu=0, \nu=i$  we find

$$\gamma_0 \gamma^i + \gamma^i \gamma_0 = 0 \quad \text{multiplying by } \gamma_0 \text{ gives}$$

$$(\gamma_0)^2 \gamma^i + \gamma_0 \gamma^i \gamma_0 = 0 \quad \text{or}$$

$$\boxed{\gamma^i = -\gamma_0 \gamma^i \gamma_0}$$

(II.27)

The  $\gamma$ 's cannot be ordinary (real) numbers, i.e. from (II.23)  $\gamma^i \gamma^0 + \gamma^0 \gamma^i = 0 \therefore \gamma^i \gamma^0 = -\gamma^0 \gamma^i$

In addition to (II.23) we find an equation for  $\gamma^0$ , using  $\gamma^0 \gamma^i + \gamma^i \gamma^0 = 0$  & multiplying by  $\gamma^i$  and using  $(\gamma^i)^2 = -1$ :

$$\boxed{\gamma^0 = \gamma^i \gamma^0 \gamma^i} \quad (\text{II.28})$$

Computing the trace of  $\gamma$ 's:

$$\text{From (II.28): } \text{Tr}(\gamma^0) = \text{Tr}(\gamma^i \gamma^0 \gamma^i) = \text{Tr}(\gamma^0 \gamma^i \gamma^i) = -\text{Tr}(\gamma^0)$$

$$\therefore \boxed{\text{Tr}(\gamma^0) = 0} \quad (\text{II.29})$$

Similarly, from (II.23)  $\text{Tr}(\gamma^i) = -\text{Tr}(\gamma^0 \gamma^i \gamma^0) = -\text{Tr}(\gamma^i)$

$$\boxed{\text{Tr}(\gamma^i) = 0} \quad (\text{II.30})$$

hence:

$\underbrace{\gamma^0 \text{ \& \ } \gamma^i}_{\text{anti-commuting}}$  } eigenvalues  $\pm 1$  &  $\pm i$ , respectively  
zero trace [trace =  $\sum$  eigenvalues]

can be REPRESENTED by MATRICES of even dimension,  $N \geq 2$ .

If  $N=2 \Rightarrow$  Pauli matrices  $\sigma_1, \sigma_2, \sigma_3$  &  $\mathbb{A}$

but  $\mathbb{A}$  is NOT anti-commuting with  $\sigma_i$ .

Next,  $N=4$ . OK. 16 matrices ( $N^2=16$ )

to that  $\psi(x)$ , Dirac wave function, is a 4-component object.

The 16 matrices:

$$\Gamma^S \equiv \mathbb{1} ; \quad \Gamma_\mu^V = \gamma_\mu ; \quad \Gamma_{\mu\nu}^T \equiv \sigma_{\mu\nu} = \frac{i}{2} [\gamma_\mu, \gamma_\nu]$$

$$\Gamma^P \equiv \gamma_5 = i \gamma^0 \gamma^1 \gamma^2 \gamma^3 = \gamma^5 ; \quad \Gamma_\mu^A \equiv \gamma_5 \gamma_\mu = -\gamma_\mu \gamma_5$$

$$\Gamma^S \Rightarrow 1 ; \quad \Gamma_\mu^V \Rightarrow 4 ; \quad \Gamma_\mu^A \Rightarrow 4 ; \quad \Gamma^P \Rightarrow 1 ; \quad \Gamma_{\mu\nu}^T \Rightarrow 6$$

TOTAL # = 16

Recall:  $\begin{cases} \sigma_{ij} = i \gamma_i \gamma_j \quad (i \neq j) \\ \sigma_{00} = \sigma_{ii} = 0 \end{cases}$

"Bjorken - Drell" convention:

$$\gamma^0 = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & -\mathbb{1} \end{pmatrix} ; \quad \gamma_i = \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix} ; \quad \gamma_5 = \gamma^5 = \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix}$$

$$\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} , \quad \sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} , \quad \sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

The  $\gamma_5$  convention is not "universal", see  
PASOP V.

Dirac eqn: 4-solutions:  $\begin{cases} E > 0 \text{ spin } \frac{1}{2} \quad (\uparrow) \text{ or } (\downarrow) \\ E < 0 \text{ spin } \frac{1}{2} \quad (\uparrow) \text{ or } (\downarrow) \end{cases}$

and  $\mu$  (magnetic moment) =  $\mu_B = \frac{e\hbar}{2m}$

$$\mu_{\text{EXR}} = \mu_B (1+a) \quad \text{with } a \neq 0, \quad a_{\text{EXR}} \approx 0.0011$$

$$g\text{-factor} \quad g \Rightarrow a \equiv \frac{g-2}{2}$$

Hence  $a$  is the deviation of  $g$  from 2.

$$a \approx \underline{0.1\%} \quad \nabla$$

Finding a Dirac Hamiltonian, analogous to the non-relativistic Schrödinger Hamiltonian

$$i\hbar \frac{\partial \psi(\vec{x}, t)}{\partial t} = \hat{H}_D \psi(\vec{x}, t) \quad (\text{II.31})$$

Start from  $(i\hbar \partial_t - m_0 c^2) \psi(x) = 0 \Rightarrow i\hbar \frac{\partial}{\partial t} \psi + i\hbar \vec{\gamma} \cdot \vec{\nabla} \psi$

& multiply by  $\gamma_0$  to obtain  $= m_0 c^2 \psi$

$$i \frac{\partial \psi}{\partial t} = (-i \gamma_0 \vec{\gamma} \cdot \vec{\nabla} + m_0 \gamma_0) \psi(x) \equiv \hat{H}_D \psi(x) \quad (\text{II.32})$$

with

$$\hat{H}_D \equiv -i \gamma_0 \vec{\gamma} \cdot \vec{\nabla} + m_0 \gamma_0 = \gamma_0 \vec{\gamma} \cdot \vec{p} + m_0 \gamma_0 \quad (\text{II.33})$$

Since  $\hat{p}$  is an Hermitian operator, and we must be  $\hat{H}$ , we find new relations for the  $\gamma_\mu$ 's, viz.

$$\hat{H}^\dagger = (\gamma_0 \gamma_i)^\dagger \hat{p}_i + m_0 \gamma_0^\dagger = \gamma_0 \gamma_i P_i + m_0 \gamma_0 \Rightarrow \left. \begin{array}{l} \gamma_0^\dagger = \gamma_0 \\ \gamma_i^\dagger = -\gamma_i \end{array} \right\} \quad (\text{II.34})$$

or in one equation:

$$\gamma_\mu^\dagger = \gamma_0 \gamma_\mu \gamma_0 \quad (\text{II.35})$$

NOTATION

$$\left\{ \begin{array}{l} \gamma^\mu P_\mu \equiv \not{P} \\ \gamma^\mu a_\mu \equiv \not{a} \end{array} \right\} \quad (\text{II.36})$$

TUTORIAL

Obtain the Dirac equation for the "Hermitian Adjoint" spinor, i.e.  $\bar{\psi}(x) \equiv \psi^\dagger(x) \gamma_0$ .

Hint: Take the Hermitian (dagger) equation & use the properties of the  $\gamma$ 's to find

$$\boxed{\bar{\psi}(x) (i \overleftarrow{\not{\partial}} + m_0) = 0} \quad (\text{II.37})$$

where the  $\overleftarrow{\not{\partial}}$  operator acts on the left.

TUTORIAL

Find the (conserved) Dirac current.

Hint: Start with the Dirac eqn. & multiply it by  $\bar{\psi}(x)$  on the left, use (II.37) and multiply by  $\psi(x)$  on the right, and subtract the latter from the former to find

$$\boxed{i \partial_\mu (\bar{\psi}(x) \gamma^\mu \psi(x)) = 0} \quad (\text{II.38})$$

where the current  $\bar{\psi} \gamma^\mu \psi$  is identified with the electromagnetic current

$$\boxed{J^\mu(x) \equiv \bar{\psi}(x) \gamma^\mu \psi(x)} \quad (\text{II.39})$$

with  $|e| = +1$ . —

TUTORIAL :

Show that  $J^\mu(x)$  is Hermitian.

Dirac equation in p-space:

$$(i \not{\partial} - m_0) a b \psi_b(x) = 0 \quad (a, b = 1, 2, 3, 4)$$

free-particle:  $\psi_a(x) = u_a(p) e^{-i p \cdot x}$

substituting in the eqn. gives

$$\boxed{( \not{\partial} - m_0 ) u(p) = 0} \quad (\text{II.40})$$

which is Dirac eqn. in p-space.

TUTORIAL:

Show that for any 4-vectors  $a^\mu$  &  $b^\mu$

$$\boxed{\not{a} \not{b} = a \cdot b - i \sigma_{\mu\nu} a^\mu b^\nu} \quad (\text{II.41})$$

Hint: use Eq. (II.23) together with  $\sigma_{\mu\nu} = \frac{i}{2} [\gamma_\mu, \gamma_\nu]$

TUTORIAL:

1) Show that  $d^4 p = a^4$

2) Show that (II.40) leads to the relativistic energy  $\pm E = p_0 = \pm \sqrt{p^2 + m_0^2}$ .

The solutions to the free particle eqn. in terms of  $u_a(\vec{p})$  can be rewritten in terms of two spinors  $u(\vec{p})$  &  $v(\vec{p})$  representing positive energy & spin-up/down, and negative energy & spin-up/down, i.e.



$$\psi^{(\pm)}(x) = \begin{cases} u(\vec{p}) e^{-ip \cdot x} & (E > 0) \\ v(\vec{p}) e^{ip \cdot x} & (E < 0) \end{cases} \quad (\text{II.42})$$

TUTORIAL

Substituting Eq. (II.42) into the Dirac show that the operators  $u(\vec{p})$  &  $v(\vec{p})$  satisfy

$$\begin{cases} (\not{p} - m_0) u(\vec{p}) = 0 \\ (\not{p} + m_0) v(\vec{p}) = 0 \end{cases} \quad (\text{II.43})$$

$$\begin{cases} \bar{u}(\vec{p}) (\not{p} - m_0) = 0 \\ \bar{v}(\vec{p}) (\not{p} + m_0) = 0 \end{cases} \quad (\text{II.44})$$

EXPLICIT SOLUTIONS

$$u(\vec{p}, s) = N \begin{pmatrix} \chi_s \\ \frac{\vec{\sigma} \cdot \vec{p}}{E + m_0} \chi_s \end{pmatrix}; \quad v(\vec{p}, s) = N \begin{pmatrix} \frac{\vec{\sigma} \cdot \vec{p}}{E + m_0} \eta_s \\ \eta_s \end{pmatrix} \quad (\text{II.45})$$

(s = 1, 2)

where  $\chi_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \chi_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}; \eta_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \eta_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

& the normalization factor  $N = \sqrt{E + m_0}$  or the Bjorken-Drell value  $N_{BD} = \frac{\sqrt{E + m_0}}{\sqrt{2m_0}}$ .

TUTORIAL

Show that  $(\vec{\sigma} \cdot \vec{p})^2 = \vec{p}^2 \mathbb{1}$  with the

2x2 unit matrix. HINT: use  $\sigma_i \sigma_j = i \epsilon_{ijk} \sigma_k$  (ii)

&  $\sigma_i \sigma_i = \mathbb{1}$ .

The explicit free particle solutions are

$$u(\vec{p}, s=1) = N \begin{pmatrix} 1 \\ 0 \\ p_3/(E+m) \\ p_+/(E+m) \end{pmatrix}; \quad u(\vec{p}, s=2) = N \begin{pmatrix} 0 \\ 1 \\ -p_3/(E+m) \\ -p_-/(E+m) \end{pmatrix}$$

$$v(\vec{p}, s=1) = N \begin{pmatrix} p_3/(E+m) \\ p_+/(E+m) \\ 1 \\ 0 \end{pmatrix}; \quad v(\vec{p}, s=2) = N \begin{pmatrix} -p_3/(E+m) \\ -p_-/(E+m) \\ 0 \\ 1 \end{pmatrix}$$

$$p_{\pm} \equiv p_1 \pm ip_2$$

TUTORIAL  
Show that

- 1)  $u^\dagger(\vec{p}, s) u(\vec{p}, s') = \# \delta_{ss'}$ ;  $\# = \begin{cases} 2E \\ E/m \end{cases}$  (Bjorken-Drell)
- 2)  $v^\dagger(\vec{p}, s) v(\vec{p}, s') = \# \delta_{ss'}$

3) Show that  $u(\vec{p}, s)$  is orthogonal to  $v(-\vec{p}, s')$  but not to  $v(\vec{p}, s)$ .

4)  $\hat{H}_D u(\vec{p}, s) = E u(\vec{p}, s)$

5)  $\hat{H}_D v(-\vec{p}, s) = -E v(-\vec{p}, s)$

6)  $\bar{u}(\vec{p}, s) u(\vec{p}, s') = \begin{pmatrix} 2m \\ 0 \\ 0 \\ 1 \end{pmatrix} \delta_{ss'}$

7)  $\bar{v}(\vec{p}, s) v(\vec{p}, s') = \begin{pmatrix} -2m \\ 0 \\ 0 \\ -1 \end{pmatrix} \delta_{ss'}$   
 top value is our normalized  
 bottom is Bjorken-Drell

8)  $\bar{u}(\vec{p}, s) v(\vec{p}, s') = \bar{v}(\vec{p}, s) u(\vec{p}, s') = 0$

9)  $\sum_{s_1, s_2}^2 [u_a(\vec{p}, s_1) \bar{u}_b(\vec{p}, s_2) - v_a(\vec{p}, s_1) \bar{v}_b(\vec{p}, s_2)] = \begin{pmatrix} 2m \\ 0 \\ 0 \\ 1 \end{pmatrix}$

Classical field  $\Rightarrow$  Quantum Field Operator  
in Fock (particle) space.

RM: Klein Gordon:  $(\partial_t^2 - \nabla^2 + m^2)\phi(x) = 0$ . (III.1)

$\phi(x) \Rightarrow \hat{\phi}(x)$ . The KG equation and its  
wave-function are treated as "classical"  
objects becoming a "classical"  
field which is then quantized by  
transforming the Fourier coefficients  
as quantum operators in Fock space.

$$\phi_{KG}(x) = \int d^3p \left[ a_{\vec{p}} \phi_{\vec{p}}^{(+)}(x) + b_{\vec{p}}^* \phi_{-\vec{p}}^{(-)}(x) \right] \quad (\text{IV.2})$$

(with  $\phi_{KG}^\dagger \neq \phi_{KG}$ ). Now  $\phi_{KG} \Rightarrow \hat{\phi}_{KG}$  with

$$\hat{\phi}(x) = \int d^3p \left[ \hat{a}_{\vec{p}} \phi_{\vec{p}}^{(+)}(x) + \hat{b}_{\vec{p}}^\dagger \phi_{-\vec{p}}^{(-)}(x) \right] \quad (\text{III.3})$$

$$\phi_{\pm}^{(\pm)}(x) = \frac{1}{(2\pi)^{3/2}} \frac{1}{\sqrt{2E_{\vec{p}}}} e^{\pm i\vec{p}\cdot\vec{x}} e^{\mp iEt} \quad (\text{III.4})$$

The operators  $\hat{a}_{\vec{p}}$  &  $\hat{b}_{\vec{p}}^\dagger$  will eventually  
create / annihilate particles & anti-particles  
by acting on the vacuum state  $|0\rangle$ .

The KG quantum field representation is

$$\hat{\mathcal{L}}_{KG} = \partial^\mu \hat{\phi}^\dagger(x) \partial_\mu \hat{\phi}(x) - m_0^2 \hat{\phi}^\dagger(x) \hat{\phi}(x) \quad (\text{III.5})$$

which using (I.13) leads to  $(\partial^\mu \partial_\mu + m_0^2) \begin{pmatrix} \hat{\phi}(x) \\ \hat{\phi}^\dagger(x) \end{pmatrix} = 0$ .

This procedure is usually called "second quantization".

Equation (III.5) can be rewritten as

$$\hat{\mathcal{L}}_{KG} = -\frac{1}{2} \hat{\phi}(x) [\partial^\mu \partial_\mu \hat{\phi}^\dagger(x) + m_0^2 \hat{\phi}^\dagger(x)] \quad (\text{III.6})$$

$$- \frac{1}{2} \hat{\phi}^\dagger(x) [\partial^\mu \partial_\mu \hat{\phi}(x) + m_0^2 \hat{\phi}(x)] + \frac{1}{2} \partial^\mu T_\mu(x)$$

with  $T_\mu(x) \equiv \hat{\phi}(x) \partial_\mu \hat{\phi}^\dagger(x) + \hat{\phi}^\dagger(x) \partial_\mu \hat{\phi}(x)$  (III.7)

TUTORIAL: Why do the 2nd and 3rd terms above not vanish on account of the KG equation?

TUTORIAL: Show that (III.6) is identical to (III.5)

2) Show that  $\int d^4x \partial^\mu T_\mu(x)$  does not

contribute to  $\delta S$  ( $S$  is the KG action)

HINT: Recall that the variation  $\delta S$  is performed at fixed times  $(t_1, t_2)$  and over a fixed three-dimensional surface (where the fields do not change).

3) Compute  $\delta S = 0$  using (III.6) and show that the KG equations for  $\hat{\phi}(x)$  &  $\hat{\phi}^\dagger(x)$  are obtained.

The Hamiltonian:

$$\hat{H} = \sum_i \pi_i^\dagger \partial_0 \phi_i - \mathcal{L} \quad (\text{III.8})$$

$$\text{with } \pi_i^\dagger = \frac{\partial \mathcal{L}}{\partial (\partial_0 \phi_i)} \quad (\text{III.9})$$

TRIVIALS

Using (II.8) & (III.9) show that

$$\begin{aligned} \hat{H} &\equiv \int d^3x \mathcal{H} \\ &= - \int d^3x \dot{\phi}^\dagger(x) \overleftrightarrow{\partial}_0 (\partial_0 \hat{\phi}(x)) \\ &= \int d^3p E_p (\hat{a}_p^\dagger \hat{a}_p + \hat{b}_p^\dagger \hat{b}_p) \quad (\text{III.10}) \end{aligned}$$

and we return shortly to discuss the rest term above (see page 50).

Fock space

$|0\rangle$  Vacuum  $\Rightarrow$  no particles, such that  $\langle 0|0\rangle = 1$   
By "no particles" it is meant no "permanent" particles, i.e. it excludes vacuum fluctuations.

$$\hat{a}_p^\dagger |0\rangle = \hat{b}_p^\dagger |0\rangle = 0 \quad \text{annihilation operators}$$

$$\hat{a}_p^\dagger |0\rangle \propto |p\rangle \quad \& \quad \hat{b}_p^\dagger |0\rangle \propto |\overline{p}\rangle$$

particle & antiparticle creation ops!

$$\langle 0 | \hat{a}_p^\dagger \hat{a}_p^\dagger |0\rangle = 1; \quad \langle 0 | \hat{b}_p \hat{b}_p^\dagger |0\rangle = 1$$

$$\text{NOTICE: } (\hat{a}_p^\dagger |n\rangle)^\dagger = \langle n | \hat{a}_p = 0$$

# Commutation Relations (Algebra of ops.)

(49)

QM:  $[q_i, p_j] = i(\hbar) \delta_{ij}$ ;  $[q_i, q_j] = [p_i, p_j] = 0$

Postulate (equal time commutators)

$$[\hat{\phi}(\vec{x}, t), \hat{\pi}(\vec{y}, t)] = i \delta^{(3)}(\vec{x} - \vec{y}) \quad (\text{IV.10})$$

$$[\hat{\phi}(\vec{x}, t), \hat{\phi}(\vec{y}, t)] = [\hat{\pi}(\vec{x}, t), \hat{\pi}(\vec{y}, t)] = 0 \quad (\text{IV.12})$$

$$\hat{\pi} \equiv \partial_0 \hat{\phi}^t, \quad \text{viz. } \left\{ \begin{array}{l} \mathcal{L} = \partial^\mu \hat{\phi}^t \partial_\mu \hat{\phi} - m_0^2 \hat{\phi}^t \hat{\phi} \\ \frac{\partial \mathcal{L}}{\partial (\partial_0 \hat{\phi})} = \partial_0 \hat{\phi}^t = \partial_0 \hat{\phi}^t \end{array} \right. \quad (\text{IV.12})$$

TUTORIAL:

Show that (III.13) leads to

$$[a_{\vec{p}}^t, a_{\vec{p}'}^t] = \delta^{(3)}(\vec{p} - \vec{p}') \quad (\text{IV.14})$$

$$[b_{\vec{p}}^t, b_{\vec{p}'}^t] = \delta^{(3)}(\vec{p} - \vec{p}') \quad (\text{IV.15})$$

and all other commutators vanish identically.

HINT: Start from  $[\hat{\phi}(\vec{x}, t), \hat{\pi}(\vec{y}, t)]$  Eq. (IV.11)

& substitute the Fourier expansion (III.3). Next

perform the integrations. Use the relations

$$\begin{aligned} \int d^3 p' \delta^{(3)}(\vec{p}' - \vec{p}) e^{-i \vec{p}' \cdot \vec{y}} e^{i \vec{p}' \cdot \vec{x}} &= \\ = \int d^3 p' \delta^{(3)}(\vec{p}' - \vec{p}) e^{i \vec{p}' \cdot \vec{y}} e^{i \vec{p}' \cdot \vec{x}} & \quad (\text{III.16}) \end{aligned}$$

$$\begin{aligned} \delta(\vec{x} - \vec{y}) &= \int \frac{d^3 p}{(2\pi)^3} \left\{ \frac{1}{2} \int d^3 p' e^{i(\vec{p}' \cdot \vec{x} - \vec{p}' \cdot \vec{y})} \delta^{(3)}(\vec{p}' - \vec{p}) \right. \\ &\quad \left. + \frac{1}{2} \int d^3 p' e^{i(\vec{p}' \cdot \vec{x} - \vec{p}' \cdot \vec{y})} \delta^{(3)}(\vec{p}' - \vec{p}) \right\} \quad (\text{IV.17}) \end{aligned}$$

The rewriting of  $\hat{H}$  in Eq. (II.10).

It is needed in order that  $\hat{H}|0\rangle = 0$ , so we needs to shift  $\hat{b}_{\vec{p}} \hat{b}_{\vec{p}}^\dagger$  into  $\hat{b}_{\vec{p}}^\dagger \hat{b}_{\vec{p}}$ .

From  $\langle 0 | \hat{b}_{\vec{p}} \hat{b}_{\vec{p}}^\dagger | 0 \rangle = 1$  (see bottom of page 48)

we can also write it as

$$\langle 0 | \hat{b}_{\vec{p}}^\dagger \hat{b}_{\vec{p}} \pm \hat{b}_{\vec{p}}^\dagger \hat{b}_{\vec{p}} | 0 \rangle = 1 \quad (\text{III.18})$$

as  $\hat{b}_{\vec{p}} | 0 \rangle = 0$ . This means, at the operator level that

$$\hat{b}_{\vec{p}} \hat{b}_{\vec{p}}^\dagger = 1 \pm \hat{b}_{\vec{p}}^\dagger \hat{b}_{\vec{p}} \quad (\text{III.19})$$

The Hamiltonian, Eq. (III.10) then becomes

$$\hat{H} = \int d^3p \ E_{\vec{p}} (a_{\vec{p}}^\dagger a_{\vec{p}} \pm \hat{b}_{\vec{p}}^\dagger \hat{b}_{\vec{p}}) + \int d^3p \ E_{\vec{p}}$$

$$(\hat{H} - \int d^3p \ E_{\vec{p}}) \Rightarrow \hat{H} = \int d^3p \ E_{\vec{p}} (a_{\vec{p}}^\dagger a_{\vec{p}} + \hat{b}_{\vec{p}}^\dagger \hat{b}_{\vec{p}}) \quad (\text{III.20})$$

whose we have chosen the + sign to make  $\hat{H}$  positive definite, and the divergent integral  $\int d^3p \ E_{\vec{p}}$  has been absorbed in  $\hat{H}$ .

Eq. (III.20) then tells us  $\hat{H}|0\rangle = 0$ , so by definition the vacuum has zero energy.

Normalization of 1-particle state:

$$|p\rangle = a_{\vec{p}}^{-1} a_{\vec{p}}^\dagger |0\rangle \quad \text{with} \quad \langle p | p \rangle = \frac{1}{(2\pi)^3} \frac{1}{2E_p} \quad (\text{III.21})$$

### TUTORIALS

Show that  $\hat{H}|p\rangle = E_p |p\rangle$  &  $\hat{H}|\bar{p}\rangle = E_p |\bar{p}\rangle$